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Article in *Geophysical Journal International* · June 2019

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3 **1 The influence of inherited continental margin structures on the stress and strain**
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5 **2 fields of the south-central Taiwan fold-and-thrust belt**
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22 **Summary**

23 In this paper we test whether or not structural and morphological features inherited
24 from the Eurasian continental margin are affecting the contemporary stress and strain
25 fields in south-central Taiwan. Principal stress directions (σ_1 , σ_2 , and σ_3) are estimated
26 from the inversion of clustered earthquake focal mechanisms and the direction of
27 maximum compressive horizontal stress (S_H) is calculated throughout the study area.
28 From these data the most likely fault plane orientations and their kinematics are
29 inferred. The results of the stress inversion are then discussed together with the
30 directions of displacement, compressional strain rate, and maximum shear strain rate
31 derived from GPS data. These data show that there is a marked contrast in the direction
32 of S_H from north to south across the study area, with the direction of S_H remaining
33 roughly sub-parallel to the relative plate motion vector in the north, whereas in the
34 south it rotates nearly 45° counterclockwise. The direction of horizontal maximum
35 compression strain rate (ϵ_H) and associated maximum shear planes, together with the
36 displacement field display an overall similar pattern between them, although
37 undergoing a less marked rotation. We interpret the southward change in the S_H , ϵ_H ,
38 and the dextral maximum shear planes directions, together with that of the horizontal
39 displacement field to be related to the reactivation of east-northeast striking faults
40 inherited from the rifted Eurasian margin and to the shelf/slope break. Inherited faults
41 in the basement are typically reactivated as strike-slip faults, whereas newly formed
42 faults in the fold-and-thrust belt are commonly thrusts or oblique thrusts. Eastward, the
43 stress inversions and strain data show that the western flank of the Central Range is
44 undergoing extension in the upper crust. S_H in the Central Range is roughly parallel to
45 the relative plate convergence vector, but in southwestern Taiwan it undergoes a

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3 46 marked counterclockwise rotation westward across the Chaochou fault. Farther north,
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5 47 however, there is no significant change across the Lishan fault. This north to south
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7 48 difference is likely due to different margin structures, although local topographic effects
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10 49 may also play a role.
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13 50

16 51 **Keywords:** Principal stress, strain rate, Taiwan, fold-and-thrust belt, reactivation of
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18 52 inherited structures.
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21 53 **Issue Section:** Geodynamics and tectonics
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23

25 54 **1 Introduction**

27 55 The determination of the stress and strain fields in a fold-and-thrust belt is important
28
29 56 because these are necessary parameters for the understanding of its mechanic,
30
31 57 geometric, and kinematic evolution (e.g. Angelier et al. 1986, Oncken 1988, Erslev 1993,
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33 58 Becker 2000, Homberg et al. 2002, Saintot & Angelier, 2002, Lacombe et al. 2006, King
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35 59 et al. 2009, Peyret et al. 2011, Tavani et al. 2015). For example, based on studies in both
36
37 60 active and fossil fold-and-thrust belts, Tavani et al. (2015) concluded that, although the
38
39 61 stress and strain fields can be locally complex, even during syn-thrusting a strike-slip
40
41 62 stress field is the most common. While they suggest that this conclusion is perhaps
42
43 63 somewhat counterintuitive, they interpret it to result from the reactivation of inherited
44
45 64 structures. Célérier (2008) proposed that the reactivation of faults with near optimal
46
47 65 orientations (e.g. Sibson 1990, 1994, Kelly et al. 1999, Leclère & Fabbri 2013) controls
48
49 66 the state of stress in the crust. Knowledge of the stress and strain fields can, therefore,
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51 67 play an important part in a data set aimed at deciphering the role of reactivation of
52
53 68 inherited structures in the development of a fold-and-thrust belt.
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70 With this in mind, in this paper we continue to explore the possible effects that the
71 morphology and inherited structures of the Eurasian continental margin are having on
72 the fold-and-thrust belt in south-central Taiwan (Fig. 1) which we have investigated in a
73 series of recent publications (Brown et al. 2012, 2017, Camanni et al. 2014, 2016,
74 Alvarez-Marron et al. 2014, Biete et al. 2018). In these studies, we used seismicity data,
75 P-wave velocity models, and geodetic data, together with geometric analyses of surface
76 and subsurface geological structures to propose that there is a causal link between
77 along-strike changes in these features and the reactivation of fault systems inherited
78 from the margin's outer shelf and necking zone. Here, we investigate this proposal
79 further by estimating the principal stress directions (σ_1 , σ_2 , and σ_3) using inversion of
80 clustered earthquake focal mechanisms and calculating the direction of maximum
81 compressive horizontal stress (S_H) throughout the fold-and-thrust belt in the study area.
82 From these data we then determine the fault planes that are likely to have been
83 activated at depth. We discuss the combined results of the stress inversions with the
84 directions of displacement, and the compressional, rotational, and maximum shear
85 strain rates derived from GPS data. The hypothesis to be tested is whether or not the
86 previously proposed causal link between the inherited features of the margin and the
87 structure of the fold-and-thrust belt is supported by the contemporary stress and strain
88 fields in south-central Taiwan.

89

90 2 Geological setting

91 2.1 Eurasian continental margin

92 The continental margin of Eurasia that is involved in the Taiwan fold-and-thrust belt
93 evolved from a sub-continental subduction system in the Late Cretaceous (Li et al. 2007,
94 Lan et al. 2008) to a rifting margin by the Early Eocene, with sea-floor spreading
95 starting in the South China Sea by the late Early Oligocene (e.g. Briais et al. 1993).
96 Beginning in the Early Miocene several extensional events further affected the outer
97 margin (e.g. A. T. Lin et al. 2003). Throughout this paper, we follow the scheme of
98 Alvarez-Marron et al. (2014) and Brown et al. (2017), when describing the geology of
99 the continental margin, defining the pre-Eocene rift-related rocks as its basement, the
100 area of basement thinning towards the South China Sea ocean basin as the necking zone
101 (see Mohn et al. (2012) for a definition of necking zone), and the slope as the
102 morphological feature where the sediments were deposited on the necking zone.
103 Today's shelf/slope break is defined as the 200 m bathymetry contour (Fig. 1).

104

105 During the Eocene rifting, several roughly northeast trending basins (e.g. Taishi and the
106 Nanjihtao basins) developed and were filled with up to 5 km of sediment (S. K. Hsu et al.
107 2001, A. T. Lin & Watts 2002, A. T. Lin et al. 2008, C. Y. Huang et al. 2012, Yeh et al.
108 2012) (Fig. 1). The Taihsi Basin is thought to extend eastward into the Taiwan mountain
109 belt where it is now exposed in the Hsuehshan Range (Fig. 1) (L. S. Teng, 1992, L. S.
110 Teng & Lin 2004). The Miocene extension resulted in the formation of a number of east-
111 northeast striking extensional faults (B, Yichu, etc. Fig. 1) and the formation of the
112 Tainan Basin on the necking zone of the margin (e.g. Yang et al. 1991, A. T. Lin & Watts
113 2002, A. T. Lin et al. 2003, Ding et al. 2008). From north to south, the area of transition

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3 114 from the shelf to the necking zone is commonly called the Peikang High (Fig. 1). The
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5 115 Tainan Basin and the faults associated with it extend from the offshore southwestern
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7 116 Taiwan on land through the undeformed foreland and into the fold-and-thrust belt (Fig.
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10 117 1) (e.g. A. T. Lin et al. 2003, Rodriguez-Roa & Wiltschko 2010, Alvarez-Marron et al.
11
12 118 2014, Yang et al. 2016, Brown et al. 2017). Recently, Yeh et al. (2012), McIntosh et al.
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14 119 (2014) and Lester et al. (2014) identified what they interpreted to be a failed rift
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16 120 located at the base of the slope. Reflection seismic data show that it extends
17
18 121 northeastward along the base of the slope (McIntosh et al., 2014) and possibly into the
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20 122 southwestern part of the island (Brown et al., 2017; Biete et al., 2018). This failed rift
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22 123 marks the onset of the hyper-extended part of the margin, which extends for more than
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24 124 200 km to the south (Lester et al., 2014). It is the reactivation of these Eocene and
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26 125 Miocene extensional faults that we have previously proposed to be having an important
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28 126 effect on the geometrical development of the fold-and-thrust belt, its seismicity and its
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30 127 topography (Brown et al. 2012, 2017, Camanni et al. 2014, 2016, Alvarez-Marron et al.
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32 128 2014, Biete et al. 2018).

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40 41 42 130 **2.2 South-central Taiwan fold-and-thrust belt**

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44 131 Within the Taiwan mountain belt (Fig. 1), the study area is divided into several roughly
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46 132 N-S striking tectono-stratigraphic units (e.g. Ho, 1988) that, from west to east are: the
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48 133 Coastal Plain (CP), the Western Foothills (WF), the Hsuehshan Range (HR) and the
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50 134 Central Range (CR). The Coastal Range (CoR) is outside the study area and will not be
51
52 135 mentioned further. For the sake of simplicity, in this study we group the Coastal Plain,
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54 136 Western Foothills, and Hsuehshan Ranges under the term fold-and-thrust belt, which is
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56 137 juxtaposed against the Central Range along the Lishan–Chaochou fault system (Fig. 2).
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3 138 While we have only limited structural data for the Central Range, we include its western
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5 139 flank in this study because of the important changes in the stress and strain fields that
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7 140 occur from west to east across the Lishan–Chaochou fault system (see sections 3 and 4
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9 141 below).

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16 143 In this paper, we follow the structural interpretations of the fold-and-thrust belt that
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18 144 have been proposed by Brown et al., (2012, 2017), Alvarez-Marron et al., (2014), and
19
20 145 Biete et al., (2018). Within the study area, the fold-and-thrust belt has a roughly N-S
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22 146 structural grain that becomes more NE-SW in the southwestern part (Fig. 2). It is a
23
24 147 west-verging imbricate thrust system developed above a basal thrust that dips overall
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26 148 eastward from its tip line along the Changhua thrust in the west to a maximum depth of
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28 149 about 7 km before ramping down into the basement (Brown et al., 2012; Alvarez-
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30 150 Marron et al., 2014; Biete et al., 2018). A number of pronounced ENE-striking lateral
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32 151 and oblique ramps have been interpreted to occur along the basal thrust over its north-
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34 152 south extent. This interpretation is based on along-strike changes in structure,
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36 153 seismicity, topography, and P-wave velocity (e.g., Brown et al., 2017). Alvarez-Marron et
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38 154 al. (2014), Brown et al. (2017), and Biete et al. (2018) have proposed that these along-
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40 155 strike changes have a causal relationship with the extensional faults inherited from the
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42 156 continental margin. Along its eastern flank, the fold-and-thrust belt is juxtaposed
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44 157 against the Central Range along the oblique thrust to sinistral strike-slip Chaochou-
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46 158 Lishan fault system that extends along the entire length of the mountain belt and has
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48 159 been interpreted to penetrate into the middle and even lower crust (Wiltschko et al.
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50 160 2010, Tang et al. 2011, C. Huang & Byrne 2014, Kuo-Chen et al. 2015) (Figs. 1 and 2). A
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52 161 geological description of the Central Range is out of the scope of this paper.
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163 In the study area, much of the seismicity is located below the basal thrust of the fold-
164 and-thrust belt, in the basement (e.g. Wang et al., 2000, Carena et al. 2002, Yue et al.,
165 2005, Camanni et al. 2016, Brown et al. 2017), so knowing the depth to the top of the
166 basement is important to our study (see Section 2.1. for our definition of basement). The
167 exact depth to the top of the basement is not well known since it does not crop out in
168 the study area and it has been intersected only in several boreholes in the Coastal Plain
169 (e.g. Chiu 1975, Jahn et al. 1992, Shaw 1996). Therefore, we use a petrophysical proxy to
170 define its location. The rationale for this has been presented by Camanni et al. (2016)
171 and Brown et al. (2017) and the reader is referred there for extensive discussions of it.
172 We use a P-wave velocity of 5.2 km/s to describe the top of the basement (or, to view it
173 another way, the base of the clastic sediments, whose maximum P-wave velocity is
174 about 5.2 km/s (Brocher, 2005)). Using this velocity description, the basement/cover
175 interface is between 5 km and 8 km depth throughout much of the study area, except
176 beneath the Hsuehshan Range and along the eastern part of the fold-and-thrust belt
177 between 23°N and 24°N (Fig. 3). East of the Chaochou-Lishan fault system the basement
178 rocks approach the surface, and in fact crop out extensively in the Central Range, east of
179 our study area.

180

181 **3 Contemporaneous stress field in south-central Taiwan**

182 **3.1 Methodology**

183 In this study we use earthquake focal mechanisms to estimate the contemporaneous
184 stress field in south-central Taiwan. The earthquakes were recorded between 1994
185 through 2014 and all hypocenters have been relocated by the double-difference

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3 186 technique (Waldhauser & Ellsworth 2000) using the HypoDD3D software (Waldhauser
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5 187 2001) within the 3D P-wave velocity model of Kuo-Chen et al. (2012). The average
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8 188 horizontal uncertainties in earthquake locations are estimated to be ± 1 km, while the
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10 189 vertical is ± 2 km (Brown et al. 2017). In this study we have used all events over the time
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12 190 period given, regardless of transitory stress changes caused by large events like the
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14 191 1999 Chi-Chi earthquake. It is well known that large earthquakes can cause transitory
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16 192 changes in the stress field (e.g. Michael 1987, Hardebeck & Hauksson 2001, Y.-M. Wu et
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18 193 al. 2010, Hensch et al. 2016, Hardebeck & Okada 2018), but it is not well understood
19
20 194 how long such a stress change can last (Hardebeck & Okada 2018). For example, while
21
22 195 there was a marked change in the stress field in parts of Taiwan following the 1999 M_w
23
24 196 7.6 Chi-Chi, this change was spatially heterogeneous and took several months to more
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26 197 than a year to return to what it was prior to the earthquake (Y.-M. Wu et al. 2010, Y. J.
27
28 198 Hsu et al. 2011). Removing earthquake data during a period of time after the Chi-Chi
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30 199 event in order to take into account its effect on the contemporaneous stress field would,
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32 200 therefore, not be necessarily correct since the changes recorded and the time it took to
33
34 201 return to what it was before are spatially heterogeneous. Furthermore, there have been
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36 202 a number of other large earthquakes in the study area, such as the 1998 M_L 6.2 Rueyli,
37
38 203 the 1999 M_L 6.4 Chiayi, and the 2010 M_L 6.4 Jiashian events, whose spatial and temporal
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40 204 effect on the contemporaneous stress field in western Taiwan have not been studied.
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42 205 Therefore, selectively removing a time period of data related to the large earthquakes
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44 206 would bias the data set in areas unaffected by them.
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56 208 In this study we use a hypothesis driven approach (e.g., Hardebeck & Michael, 2004) to
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58 209 do the binning of the focal mechanism data for the stress inversion. It is designed to test
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3 210 whether or not the pre-existing structure of the margin is influencing the
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5 211 contemporaneous stress field. Since much of the seismicity of the study area occurs
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7 212 within the basement (Fig. 4) we have divided the crust into three depth levels. From 0 to
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9 213 6.9 km comprises the fold-and-thrust belt and/or the sedimentary carapace overlying
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11 214 the basement. Some 37% of earthquakes occur within this depth level (Fig. 4). From 7 to
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13 215 45 km comprises basement, which is divided into two depth levels. From 7 to 14.9 km
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15 216 contains 47% of earthquakes and its base is chosen to coincide with the depth to the
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17 217 expected thermal cut-off for seismicity (about $350 + 100$ °C; Sibson (1983), W. Chen &
18
19 218 Molnar (1983)) given a geothermal gradient of around 30°C/km in western Taiwan (S.
20
21 219 K. Wu et al. 2013). Finally, we include a layer from 15 to 45 km depth that includes the
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23 220 deepest earthquakes, which accounts for 16% of the total seismicity.
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32 222 The focal mechanism data set comprises 2465 events with magnitudes ranging from 1.4
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34 223 to 6.8, with a mean M_L of 3.(Fig. 5a) and where the 90% of the events magnitudes range
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36 224 between 2.5 and 4.8 (see supplementary data set table SD1). Focal mechanisms were
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38 225 calculated using first motion polarities of P waves (Y.-M. Wu et al. 2008). Each focal
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40 226 mechanism was assigned a quality index factor (Qfp) to assess the uncertainty and
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42 227 solution quality depending on the number of polarity readings (Npor), the azimuthal
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44 228 gap (Gap), a relative number of up versus down polarity readings (Rup), and the data fit
45
46 229 from the genetic focal mechanisms estimation algorithm (for details on Qfp calculations
47
48 230 see Y.-M. Wu et al., (2008)). Values for Qfp, Npor, Gap and Rup can be found in
49
50 231 supplementary Table SD1. The data set is composed of focal mechanisms solutions with
51
52 232 $Npor > 10$ and $Gap < 180^\circ$ and, generally, a solution is considered to be unconstrained if
53
54 233 $Qfp = 0$, and good with $Qfp > 1$ (Y.-M. Wu et al., 2008). All event locations and focal
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234 mechanism solutions, which include strike, dip, rake with standard deviations (1σ), and
235 the corresponding P, T, and B axes are also presented in supplementary Table SD1.

236 The data set has been divided into clusters (Fig. 5) where the proximity of events and
237 their distribution in swarms (i.e., main shock and aftershocks) were taken into account.
238 Each cluster has a minimum of 20 events (except cluster A4 with 17 events), which has
239 been shown to be a sufficient number to give a stable inversion result (e.g. Hardebeck &
240 Hauksson, 2001; Townend & Zoback, 2006; Arnold & Townend, 2007; Vavrycuk, 2014)
241 and 29 of the clusters have more than 30 events. In keeping with Y.-M. Wu et al. (2008,
242 2010) and S.K. Chen et al. (2017), we use only events with a $Q_{fp} > 0.1$. Overall, the
243 clusters have an average of $Q_{fp} 1.15 \pm 0.46$ (average of the median cluster Q_{fp}), with
244 only an $11\% \pm 6$ in average of percentage of events with $Q_{fp} < 0.2$ in each cluster
245 (supplementary Table SD2). Nineteen clusters have a median $Q_{fp} > 1$, which we
246 consider being high quality clusters. Eleven clusters have a **median** Q_{fp} between 0.7 and
247 1, which is fair, and 6 have **median** Q_{fp} below 0.7, which is acceptable (Y.-M. Wu et al.
248 2008) (supplementary Table SD2 contains more information on cluster Q_{fp} statistics).
249 In the stress inversion all events are treated equally, with no weighting being applied.

250 The focal mechanism data in the various clusters are displayed in supplementary Figure
251 SD1. We show nodal plane normals, P- and T-axes and the faulting states, and see that
252 most clusters have rather well-defined directions of P-, T- axes and nodal plane normals,
253 while some (A7, B11, B17 or C3) show more scattered directions. The P- and T- axes
254 distributions suggest that events in some clusters are produced by a thrust state of
255 stress (T-axes roughly vertical, 90° away from P) (B8, B9, B10, B16 and C4), some by a
256 strike-slip environment (90° along the horizontal between P and T axes) (A8, A9, B1 and
257 B5), and a few by a normal state of stress (P-axes roughly vertical, 90° away from T)

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3 258 (A11, A13 and B13). Other clusters seem to be located in more oblique stress states of
4
5 259 either transtension or transpression, where some P- and T- axes show thrust or normal
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8 260 and others strike-slip (A3, A11, A12, B4 and B6) (column A of supplementary Figure
9
10 261 SD1). However, as individual focal mechanisms can vary significantly even when events
11
12 262 are produced by the same stress state (e.g. McKenzie, 1969) we need a formalized
13
14
15 263 inversion methodology to assess the causative stresses in the clusters.
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18 264 To estimate the principal stress directions (σ_1 , σ_2 , and σ_3) we use the stress tensor
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20 265 inversion scheme of Lund & Slunga (1999). The methodology accounts for uncertainties
21
22 266 in the focal mechanisms by perturbations to the P-, T- and B-axes up to some angle
23
24
25 267 during the inversion (Lund & Slunga, 1999; Hensch et al., 2016). Here we allowed 10 –
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27 268 15 degrees maximum perturbation in keeping with the 18 degree average focal
28
29
30 269 mechanism uncertainty estimated by Wu et al. (2008). In order to select which of the
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32 270 two nodal planes is the most likely fault plane, the Lund & Slunga (1999) methodology
33
34 271 applies a Mohr-Coulomb stability criterion to assess which nodal plane is more unstable
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37 272 over a range of coefficient of friction (μ) values. Here we use a μ -range of 0.4 – 1.2 and if
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39 273 one nodal plane is consistently more unstable over this range, that nodal plane is chosen
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41 274 as the fault plane and used in the inversion. If, on the other hand, the most unstable
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43
44 275 nodal plane changes over this range then the nodal planes are similarly stable and
45
46 276 choosing one over the other would mean an implicit choice of μ . The fault plane is then
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48
49 277 instead chosen based on the goodness of fit. Using the focal mechanisms of the
50
51 278 considered cluster, the inversion performs a grid search of the principal stress
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53 279 directions and the stress ratio $R=(\sigma_1 - \sigma_2)/(\sigma_1 - \sigma_3)$. For each point on the grid it
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56 280 searches through all perturbations of the focal mechanisms and for each calculates the
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58 281 angular misfit between the shear stress direction on the chosen fault plane and the
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3 282 observed slip direction. This process determines the directions of the three principal
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5 283 stress axes and an estimate of the relative size of the intermediate principal stress, the
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8 284 stress ratio R (Lund & Slunga 1999). When the entire set of focal mechanisms of the
9
10 285 cluster has been searched at all stress directions, the optimal stress tensors and its
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12 286 confidence limits are calculated using statistics for one-norm misfit (Fig. 6 column A).
13
14 287 The direction of the maximum compressive horizontal stress (S_H) and its confidence
15
16 288 limits are then determined using the methodology of Lund & Townend (2007). The S_H
17
18 289 results are plotted as a histogram around the stereonet (Fig. 6 column A). In map view,
19
20 290 S_H is plotted as wedges that represent the 95% confidence limit (Fig. 7) and the stress
21
22 291 regime of each (i.e., reverse, normal, strike-slip) is determined from the stress tensor.
23
24 292 Then, the poles to the estimated fault planes, determined from the two nodal planes of
25
26 293 each focal mechanism (e.g. Lund & Slunga 1999) are plotted and contoured using the
27
28 294 Kamb method (Fig. 6 column B). We also plot the strikes of the estimated fault planes in
29
30 295 a rose diagram (Fig. 6 column C) using the Stereonet3D software of Allmendinger et al.
31
32 296 (2012). The length of the petals corresponds to the percentage of the total number of
33
34 297 strikes that falls within a 10° bin, and the two most frequent strikes are chosen as the
35
36 298 primary (most frequent) and secondary fault planes (Fig. 7). Finally, to assess how the
37
38 299 instability fault selection criterion performed we note that on average $70\% \pm 12\%$ of the
39
40 300 fault planes were chosen based on stability and that in only three clusters (A4, B14 and
41
42 301 B16) were less than 50% of the planes chosen by stability (supplementary Table SD2).
43
44 302 In supplementary Figure SD1 we illustrate the chosen fault planes in Mohr-Coulomb
45
46 303 diagrams, with the relative stress magnitudes calculated in the inversion using an
47
48 304 average coefficient of friction (μ) of 0.6 (supplementary Figure SD1 column G). A
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50 305 complete set of inputs and outputs for each cluster is given in Supplementary data set
51
52 306 Figure SD1.
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6 308 **3.2 Stress tensors and maximum horizontal compressive stress**
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9 309 An analysis of faulting types (calculated using the method of Zoback (1992)) shows that
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11 310 strike-slip and thrust faulting dominate in the fold-and-thrust belt (Fig. 5A). Strike-slip
12
13 311 faulting occurs mostly in the Coastal Plain and in the Western Foothills at about 23.5°N
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15 312 to a depth of 15 km, whereas thrusting and transpressional faulting dominate in the
16
17 313 Western Foothills and the Hsuehshan Range from the surface to the base of the
18
19 314 seismicity (Fig. 5B, C and D). Extensional faulting in the northwest (clusters A4 and B4)
20
21 315 and strike-slip faulting in the southeast (cluster A9) are related to the Chi-Chi
22
23 316 earthquake sequence. Extensional and strike-slip faulting dominate at all depths along
24
25 317 the western flank of the Central Range, with thrusting being common at the deepest
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27 318 level in the south (Figs. 5B, C and D).
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36 320 Throughout the fold-and-thrust belt, σ_1 at all depth levels generally plunges gently
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38 321 toward the west-northwest to west (Fig. 6 column A). There are local exceptions to this,
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40 322 such as clusters B1, B2 and C1 in which σ_1 plunges gently east-northeast. From 0 to 7
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42 323 km depth, the state of stress in the Coastal Plain, Western Foothills, and the Hsuehshan
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44 324 Range is predominantly in the strike-slip regime. In the southern part of the Western
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46 325 Foothills, from 7 to 15 km depth, there is a dominantly compressional stress regime that
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48 326 is important. Although there are few data in the 15 to 45 km depth level, these show a
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50 327 compressional stress regime in the north and a strike-slip regime in the central and
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52 328 southern parts of the study area. An extensional stress regime is rare in the fold-and-
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54 329 thrust belt, being found only in clusters A4 and B4, where σ_3 plunges gently toward the
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56 330 east-southeast. An extensional stress regime is common, however, in the upper 15 kms
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3 331 of the Central Range, where σ_3 is gently northeast and southwest plunging (clusters
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6 332 A11, A12, A13, B13, and B14). At the deepest level, in the southern part of the Central
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8 333 Range, a compressional stress regime dominates, with σ_1 plunging gently toward the
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10 334 west (cluster C5).

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16 336 Throughout the fold-and-thrust belt, the direction of the maximum compressive
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18 337 horizontal stress (S_H) varies appreciably from north to south, although it shows only
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20 338 minor, local variation with depth (Fig. 7). In general, the direction of S_H fans from
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22 339 roughly northwest in the north (clusters A4 and B4 are exceptions), through to west-
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24 340 southwest in the southwest part of the study area. The direction of S_H in the Central
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26 341 Range is constantly northwest oriented, except at the deepest depth level in the south,
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28 342 where it is west-southwest oriented.

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35 36 344 **3.3 Most likely active fault planes**

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39 345 A derivative of the process of determining the stress tensor is the possibility to estimate
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41 346 the most likely fault plane orientations and kinematics for the region of each earthquake
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43 347 cluster (Figs. 8). We have done this using the fault selection during inversion
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45 348 methodology outlined above and orientations are given following the right hand rule.
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47 349 The quality of the fault plane determinations can be observed from the deviation angles
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49 350 (Dev in Fig. 6) (defined as the mean of the angular differences in the fault planes
50
51 351 between the observed slip directions and the directions of calculated maximum shear
52
53 352 stress) given for each cluster. As an estimate of quality, we divide the results into 3
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55 353 classes based on the estimated average uncertainties in the focal mechanisms (Y.-M. Wu
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59 354 et al., 2008): $<10^\circ$ is good (26 clusters), 10° to 15° is fair (8 clusters), and $> 15^\circ$ is poor

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3 355 (2 clusters) (Lund and Slunga, 1999). From 0 to 7 km depth (Fig. 8A), northwest to
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6 356 north-northeast striking sinistral transpressional faulting to thrust faulting dominate in
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8 357 the southern part of the Western Foothills, whereas nearly east striking dextral strike-
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10 358 slip faulting and northwest striking dextral transtensional faulting occurs in the north.
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12 359 Roughly northwest striking sinistral transpressional faulting dominates in the
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14 360 Hsuehshan Range, whereas northwest striking extensional to sinistral transtensional
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16 361 faulting are typical in the Central Range. From 7 to 15 km depth (Fig. 8B), north-
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18 362 northwest and north-northeast striking dextral and sinistral strike-slip faulting are
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20 363 common in the south of the Coastal Plain. Faulting in the southern part of the Western
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22 364 Foothills is characterized by north-northwest and north-northeast striking dextral and
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24 365 sinistral transpressional faulting together with north-northeast and north striking
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26 366 thrust faulting, whereas in the north only northwest striking transtensional faulting
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28 367 takes place. The central part of the Western Foothills is dominated by a zone of east-
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30 368 northeast striking, dextral strike-slip faulting (Fig. 8B). At this depth level, the
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32 369 Hsuehshan Range is characterized by northwest through northeast striking sinistral and
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34 370 dextral transpressional and thrust faulting. The southern part of the Central Range has
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36 371 roughly north striking extensional faulting with predominantly roughly east-west
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38 372 sinistral transpressional faulting in the immediate hangingwall of the Chaochou fault.
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40 373 From 15 to 45 km depth (Fig. 8C), southwest and nearly east striking dextral
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42 374 transpressional faulting is taking place in the Western Foothills, whereas in the far
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44 375 southeast north striking dextral faulting is taking place in the immediate footwall to the
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46 376 Chaochou fault. At this depth level, in the Central Range north-northeast striking thrust
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48 377 faulting is taking place along the hangingwall of the Lishan fault, and roughly east-west
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50 378 sinistral strike-slip faulting in the immediate hangingwall of the Chaochou fault.
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380 4 Displacement and strain rate analysis from GPS data

381 4.1 Data and methodology

382 In this section we investigate the deformation and strain rates of the south-central
383 Taiwan fold-and-thrust belt and the western flank of the Central Range using data from
384 the Taiwan GPS network. The station coverage of the network is dense in most of the
385 study area (Fig. 9A), with the exception of the high mountainous areas of the Central
386 Range, the east-central part of the Western Foothills, and the southern part of the
387 Hsuehshan Range. The data set used here is from the period 2005 through 2009 and
388 was processed according to the method of Yu et al., (1997), and the reader is referred
389 there for details. Horizontal velocities are calculated relative to station S01R located on
390 the island of Penghu, in the Taiwan Strait on stable Eurasia. Strain rates are calculated
391 from the GPS data using the SSPX software of Cardozo & Allmendinger (2009), and the
392 reader is referred there for the background theory. We used a 5 km by 5 km grid and a
393 grid-nearest neighbour interpolation method using the 10 nearest stations within a
394 maximum radius of 35 km. SSPX determines the best fitting strain tensors for each 2D
395 surface of the grid and its corresponding strain ellipse. In Figure 9, we show the
396 displacement vectors, the rotation rate about a vertical axis, the dilatation strain rate,
397 and the maximum shear strain rate, as well as the horizontal maximum compressive
398 and extension strain axes (ϵ_H) and the maximum shear strain planes. For the sake of
399 clarity in Figure 9, only every second set of horizontal maximum compressive and
400 extension axes are plotted. Below we describe the data set using the kinematic analysis
401 of a body of rock that undergoes translation, rotation, dilation and shear.

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4.2 Displacement vectors and strain rates of south-central Taiwan

The horizontal velocity vectors display an overall northwest to west-northwest sense of displacement in the northern part of the study area, changing to dominantly west directed in the central and much of the southern areas (Fig. 9A). In the southwest, along the coast, the velocity vectors are more southwest directed. Horizontal displacement along the northwestern part of the Western Foothills and throughout the Coastal Plain is very small, whereas in the rest of the study area the horizontal velocities increase overall toward the south and east.

The sense of vertical rotation undergoes several changes from north to south (Fig. 9B), with a clockwise rotation dominating in the Hsuehshan Range and the northern part of the Central Range, whereas counter clockwise rotation is more common in the northern part of the Western Foothills and the Coastal Plain. From about 23.5° N to 22.8° N there is a pronounced zone of clockwise rotation that ends abruptly southward, where a counter clockwise rotation dominates in the extreme southwest. The dilatation strain rate pattern shows a clear change from west to east across the Chaochou- Lishan fault system, with negative values (compression) everywhere in the fold-and-thrust belt and positive values (extension) in the Central Range (Fig. 9C). Note, however, that the small number of stations in the Central Range means that there is a large uncertainty associated with this area. There is a marked decrease in the dilation strain rate in the northwestern part of the Western Foothills and the northern part of the Coastal Plain. The direction of ϵ_H is oriented roughly northwestward in the northern and northeastern part of the fold-and-thrust belt, rotating to west-northwest in the central and southern part. In the Central Range, the orientation of the extension axes is roughly

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3 427 northeast in the northern part, becoming more westward to west-southwest in the
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6 428 south. The maximum shear strain rate is fairly uniform throughout much of the study
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8 429 area (Fig. 9D). The Western Foothills show slightly higher shear strain rate than the
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10 430 surrounding areas, and there is a slight southward increase. There is a local, east-
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12 431 northeast striking, zone of high shear strain rate in the southwest of the study area.
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15 432 There is a marked, although moderate, decrease in the maximum shear strain rate in the
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17 433 northwestern part of the Western Foothills and the northern part of the Coastal Plain
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19 434 and a moderate, roughly east-northeast striking southward increase at about 23.5° N.
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22 435 The orientations of the dextral maximum shear planes (black in Fig. 9D) change from
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24 436 nearly east-west striking in the northern part of the study area to more southwest
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26 437 striking from c. 24° N southward. In the south, the orientations of the dextral maximum
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28 438 shear planes change eastward from southwest striking to more west striking.
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32 439 **5 Discussion**

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34 440 The importance of reactivation of pre-existing faults in the deformation of an area is
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36 441 thought to be such that this process can control the stress distribution in the crust
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38 442 (Célérier 1995, 2008, Tavani et al. 2015). In the case of pre-existing faults, why
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40 443 reactivation happens is a complex and selective process that depends on several factors,
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42 444 the most important of which are; the orientation and steepness of the pre-existing faults
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44 445 with respect to the principal stress axes, the friction along the fault plane, and the
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46 446 geothermal gradient (Sibson 1985, 1990, 1994, Letouzey 1990, Kelly et al. 1999, Leclère
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48 447 & Fabbri 2013). Fold-and-thrust belts commonly develop in areas where the basement
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50 448 rocks have undergone a previous deformation history and the sediments that overlie
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52 449 them can also have fault systems, facies changes, and possibly a weak contact with the
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54 450 basement [e.g., Rodgers, 1990]. All of these form heterogeneities that can be
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3 451 (re)activated in the compressional stress field of a developing thrust system (Jackson
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5 452 1980, Wiltschko & Eastman 1983, Sibson 1985, Richard & Krantz 1991, Célérier 1995,
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7 453 2008, Turner & Williams 2004, Butler et al. 2006, Poblet & Lisle 2011, Bonini et al. 2012,
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9 454 Lacombe & Bellahsen 2016). Below, we first compare and contrast our results with
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11 455 previous stress and strain studies in the area and then go on to investigate whether or
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13 456 not the reactivation of faults inherited from the Eurasian continental margin is a
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15 457 contributing factor to the contemporary stress and strain fields of the south-central
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17 458 Taiwan fold-and-thrust belt.
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25 460 The result of the contemporary stress analysis of the south-central Taiwan fold-and-
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27 461 thrust belt obtained in this study, which includes the data related to large earthquakes
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29 462 such as Chi-Chi, is in good agreement with that obtained from both paleostress and
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31 463 contemporaneous stress studies carried out in the same area (e.g. Angelier et al. 1986,
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33 464 Suppe, 1995; Lacombe et al. 1999, Chang et al. 2003, Mouthereau & Lacombe 2006, Y.-
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35 465 M. Wu et al. 2008, Y. J. Hsu et al. 2009, S. K. Chen et al. 2017). For example, our analyses
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37 466 of the direction of the contemporary S_H (Fig. 10) shows that it undergoes an important
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39 467 east-west change across the Chaochou fault (but not the Lishan fault) and a north-south
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41 468 change that takes place at about 23.5° N, in agreement with that determined by Chang et
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43 469 al. (2003), Mouthereau & Lacombe (2006), Y.-M. Wu et al. (2008; 2010), Y. J. Hsu et al.
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45 470 (2009) and S. K. Chen et al. (2017). Likewise, there is coincidence in that there is a
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47 471 change in the plunge of σ_1 , from near vertical in the Central Range to subhorizontal in
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49 472 the Western Foothills and the Hsuehshan Range. Our results also coincide with those of
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51 473 S. K. Chen et al. (2017) in that the direction of S_H and the plunge of σ_1 display only
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53 474 minor, if any, change with depth. However, Y.-M. Wu et al. (2010) indicate that there is a
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3 475 10° to 20° counterclockwise rotation with depth in southern Taiwan that we do not see
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6 476 this in our results. Furthermore, paleostress studies carried out by Angelier et al.
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8 477 (1986), Lacombe et al. (1999) and Chang et al. (2003) are also in general agreement
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10 478 with our calculations of contemporaneous σ_1 . All regional GPS studies indicate a change
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12 479 in the horizontal displacement vector in the fold-and-thrust belt from roughly west-
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14 480 northwest in the north to west and southwest in south. This change takes place at about
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16 481 23.5° N. Our strain rate results are also in excellent agreement with previous studies
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18 482 carried out in the study area using GPS data (e.g. Bos et al. 2003, Chang et al. 2003,
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20 483 Ching et al. 2007, 2011, J. C. Hu et al. 2007, S. K. Chen et al. 2017). The close agreement
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22 484 between all studies of the contemporaneous stress and strain fields in the fold-and-
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24 485 thrust belt of south-central Taiwan indicate that the features they delineate are robust
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26 486 on a regional scale and can therefore be used to address the hypothesis put forth in
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28 487 Section 1.
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38 489 The maximum horizontal stress (S_H) of an area is typically oriented sub-parallel to the
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40 490 relative plate motion (Zoback et al., 1989; Zoback 1992, Gölke & Coblentz 1996;
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42 491 Townend et al., 2012), which, in the case of Taiwan, is roughly toward about 306° (Figs.
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44 492 1 and 10) (Yu et al., 1997, J. Wu et al. 2016). Large intraplate forces, such as isostatic
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46 493 compensation or lithosphere flexure can also have an important effect on the regional
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48 494 stress field, while at a more local scale S_H can also be affected by features such as weak
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50 495 faults, structural highs, recent sedimentation or topography (e.g. Tingay et al., 2005). In
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52 496 the study area, there is a marked contrast in the direction of S_H from north to south (Fig.
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54 497 10). In the north, the direction of S_H remains roughly sub-parallel to the relative plate
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56 498 motion vector from the Central Range westward into the Coastal Plain. In the south,
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3 499 however, there is a nearly 45° counterclockwise rotation in the direction of S_H from the
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5 500 Central Range westward across the Chaochou fault and into the Coastal Plain (Fig. 10)
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8 501 (see also Chang et al. (2003), Y.-M. Wu et al. (2010), S. K. Chen et al. (2017)). The
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11 502 direction of ϵ_H and the orientations of the dextral maximum shear planes both display
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14 503 an overall rotation southward (Fig. 9).

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17 504 The north-south change in the directions of the contemporaneous S_H , ϵ_H , the dextral
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20 505 maximum shear planes, and the horizontal velocity vectors at about 23.5° N has been
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22 506 interpreted to be related to the so-called Peikang High (Fig. 1) (J. C. Hu et al. 1997, Bos
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24 507 et al. 2003, Chang et al. 2003, Mouthereau & Lacombe 2006, Ching et al. 2007, 2011, Y.-
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27 508 M. Wu et al. 2008, 2010, Y. J. Hsu et al. 2009, S. K. Chen et al. 2017). Many of these
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29 509 authors interpret the Peikang High to be a symmetrical structural high, or horst (see
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31 510 Twiss & Moores (1992) for a definition of horst), in the extensional fault system
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34 511 developed on the margin shelf that acts as a symmetrical indenter around which rocks
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36 512 in the fold-and-thrust belt are moving. Nevertheless, as was pointed out by Mouthereau
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39 513 & Lacombe (2006), neither the paleostress nor the contemporaneous σ_1 trajectories
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41 514 around the Peikang High fit with those estimated by analogue (C.-W. Lin & Huang 1998)
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44 515 and numerical models of it (e.g. J. Hu & Angelier 1996, J. C. Hu et al. 1997, C.-W. Lin &
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46 516 Huang 1998). Nor do the contemporaneous stress trajectories, the horizontal
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48 517 displacement field, or the strain field (Fig. 10) fit with those predicted to occur around
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50 518 an indenter into a fold-and-thrust belt (e.g. Macedo & Marshak 1999, Marshak 2004).

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56 520 Reflection seismic and wide-angle velocity profiling show that from about 23.5° N (the
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58 521 southern flank of the Peikang High) (Figs. 1 and 2) the basement thins from c. 30 km on
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3 522 the shelf area to less than 10 km in the area of the failed rift at the base of the slope (e.g.
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5 523 Yeh et al. 2012, Lester et al. 2014, McIntosh et al. 2014, Brown et al. 2017). This is the
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8 524 structural feature that we call the necking zone. Southward, is the morphological
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10 525 feature that is the continental slope. It is across this highly structured area, with its east-
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12 526 northeast strike for both the onset of the necking zone and the shelf/slope break (Figs. 1
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15 527 and 2), its thinning basement and thickening sedimentary cover, and its extensional
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17 528 fault system where the major changes in the stress, strain, and displacement fields of
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19 529 the southern part of the Taiwan fold-and-thrust belt take place (Figs. 7, 9, and 10). We
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22 530 therefore suggest, in agreement with Mouthereau & Lacombe (2006), that the
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25 531 southward change in the S_H direction, in the strain rate derived directions of ϵ_H and
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28 532 dextral maximum shear planes, and in the horizontal displacement field vectors that
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30 533 begin at about 23.5° N are not due to a symmetrical indenter, but rather to the
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32 534 reactivation of faults related to the complex rifted margin geometry in this area.
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38 536 The change across the Chaochou fault, but not the Lishan fault is more difficult to
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40 537 interpret. It is possible that it reflects a local stress perturbation related to differences in
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43 538 the topography from east to west (large difference across the Chaochou fault, but almost
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45 539 no difference across the Lishan fault). It can also, in part, be the effect of differently
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48 540 oriented pre-existing faults in the basement between southern Taiwan and the
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50 541 Hsuehshan Range in the north. Nevertheless, there is close agreement between the
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52 542 inferred extensional faulting stress regime and the strain rate derived extension in the
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55 543 Central Range.
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6 Conclusions

In this study, we have shown that there are important changes, particularly in the contemporaneous stress and GPS velocity fields, but also in the strain field, from the continental shelf to the margins necking zone. In the north of the study area, and along the entire western flank of the Central Range, the S_H and the ϵ_H directions are sub-parallel to the direction of relative plate motion (306°) between the Philippine Sea and the Eurasian plates. In the southwest, where the necking zone of the margin is entering into the deformation of the fold-and-thrust belt, S_H , and both the directions of ϵ_H and dextral maximum shear planes undergo important rotations. In the case of S_H , these rotations go up to 45° . Where the upper part of the necking zone is involved in the deformation, the estimated most likely activated fault orientations determined from the stress inversion is east-northeast, roughly parallel to known fault systems in the basement. The fault type is dominantly dextral strike-slip and transpressive. In the far southwest, an east-northeast striking zone of high shear strain rate coincides with the onshore projection of a failed rift imaged by reflection seismic data offshore. A paucity of seismicity in this area precludes an estimation of the principal stress axes orientations and determination of fault type, but the calculated strain ellipse is in keeping with it also being a zone of dextral strike-slip faulting (Fig. 10C).

These observations further corroborate our previous interpretations (Brown et al. 2012, 2017, Camanni et al. 2014, 2016, Alvarez-Marron et al. 2014, Biete et al. 2018) that there is a causal link between the reactivation of the inherited morphology and structure of the Eurasian continental margin. In particular, the east-northeast striking fault systems inherited from the necking zone of the continental margin are optimally

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3 569 oriented relative to S_H for reactivation (Sibson 1990, 1994, Kelly et al. 1999, Leclère &
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5 570 Fabbri 2013). In the southwest of the study area, therefore, it appears that it is the
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8 571 reactivation of these inherited structures that is controlling the contemporaneous stress
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10 572 field (e.g., Célérier 2008, Tavani et al. 2015) since this stress field is calculated from
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12 573 earthquakes that occur along them. Farther north, however, the close coincidence
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15 574 between directions of S_H and ϵ_H with the relative plate motion vector suggest that it is
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18 575 the plate boundary forces that control the stress and strain fields (e.g., Richardson 1992,
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20 576 Zoback 1992, Gölke & Coblentz 1996).

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25 578 Finally, there are important north to south changes in both the stress and strain fields
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27 579 from the western flank of the Central Range across the Chaochou-Lishan fault system
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29 580 into the fold-and-thrust belt. The results of both the stress inversion and the GPS
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31 581 derived strain rates show that the upper crust of western flank of the Central Range (we
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33 582 do not investigate the east part in this study) is under extension while that of the fold-
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35 583 and-thrust belt to the west is under compression. Few data exist in the Central Range
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37 584 for depths greater than 15 km, but these appear to indicate that the deeper crust is
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39 585 under compression (see also, S.K. Chen et al. 2017). Nevertheless, there is a clear
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42 586 rotation of the directions of S_H and the directions of ϵ_H and dextral maximum shear
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45 587 planes across the Chaochou fault in the south, but no noticeable change across the
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48 588 Lishan fault in the north. A possible explanation for this difference may be that the
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50 589 north-south differences in topography across this fault system are locally influencing
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53 590 the stress and strain fields (e.g., Richardson 1992, Gölke & Coblentz 1996).

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7 Acknowledgments

D. B., J. A-M., and C. B. acknowledge funding provided by the Spanish Ministerio de Ciencia, Innovación y Universidades grants CGL2013-43877-P. H. and PGC2018-094227-B-I00, with additional support from the Generalitat de Catalunya grant 2017SGR1022. The editor A. Ferreira, and the reviewers O. Lacombe and V. Vavrycuk are acknowledged. The authors gratefully acknowledge Wessel et al. (1998) for the Generic Mapping Tool (GMT) software that was used in plotting some of the figures.

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910 Figure & Captions

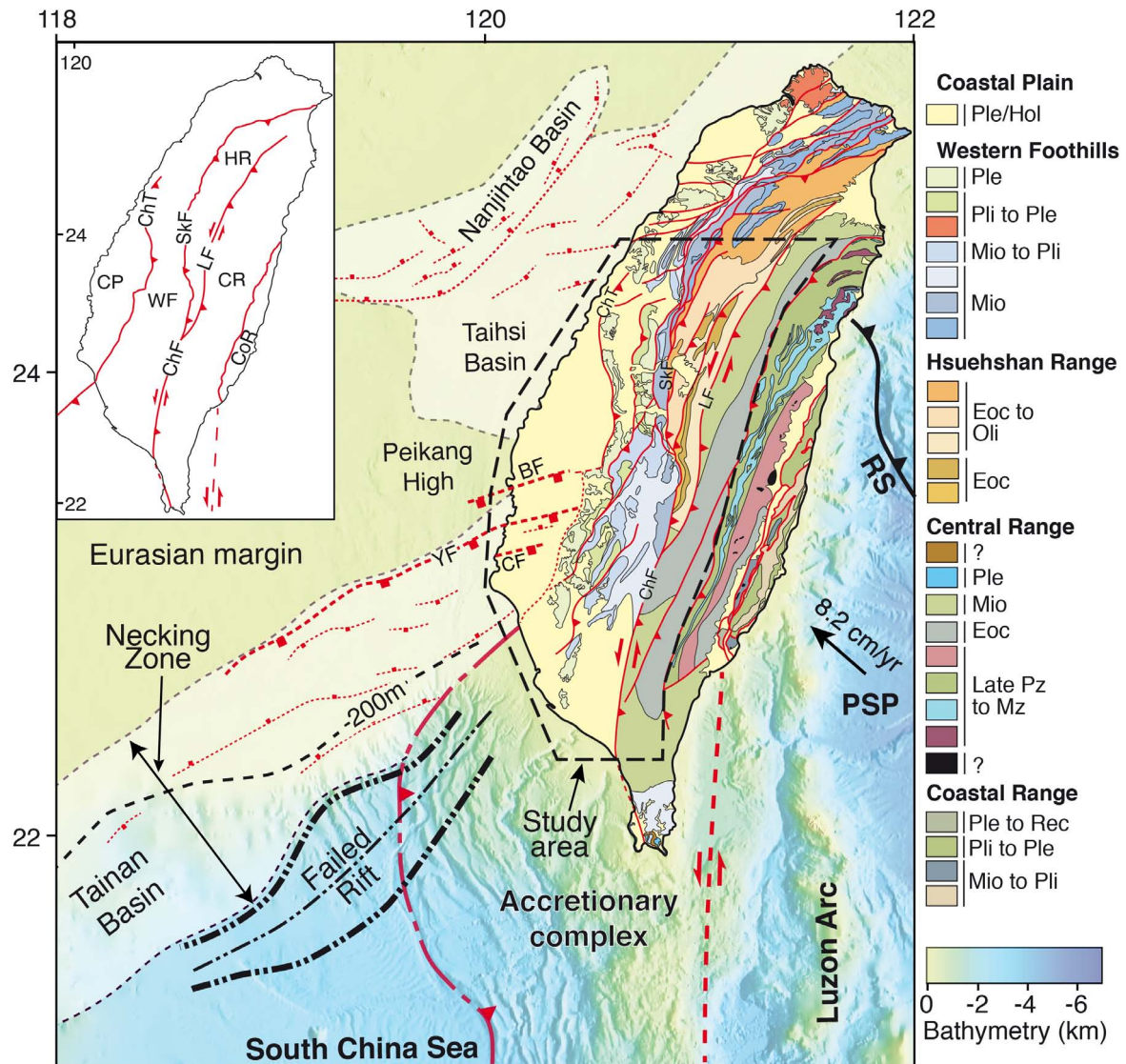


Figure 1

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912 Figure 1: Tectonic setting of the Taiwan orogen. The geology of Taiwan is from C.-H.
 913 Chen (2000) with our modifications. The inset shows the main tectono-stratigraphic
 914 units discussed in the text. The structural (necking zone, failed rift, extensional basins,
 915 major faults) and morphological features (shelf/slope break at 200 m water depth) of
 916 the Eurasian continental margin are shown. The study area is highlighted by the black
 917 dashed line. The relative plate motion vector of 8.2 cm/yr toward 306° (Yu et al. 1997)

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3 918 between the Eurasian margin and the Philippine Sea Plate is given. RS = Ryukyu
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5 919 subduction zone, PSP = Philippine Sea Plate, ChT = Changhua thrust, LF = Lishan Fault,
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7 920 SkF = Shuilikeng Fault, ChF = Chauchou Fault, BF = B fault, YF = Yichu fault, CF = Chiali
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9 921 fault. The inset shows the tectono-stratigraphic units of the Taiwan orogen. CP = Coastal
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11 922 Plain, WF = Western Foothills, HR = Hsuehshan Range, CR = Central Range, CoR =
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13 923 Coastal Range.
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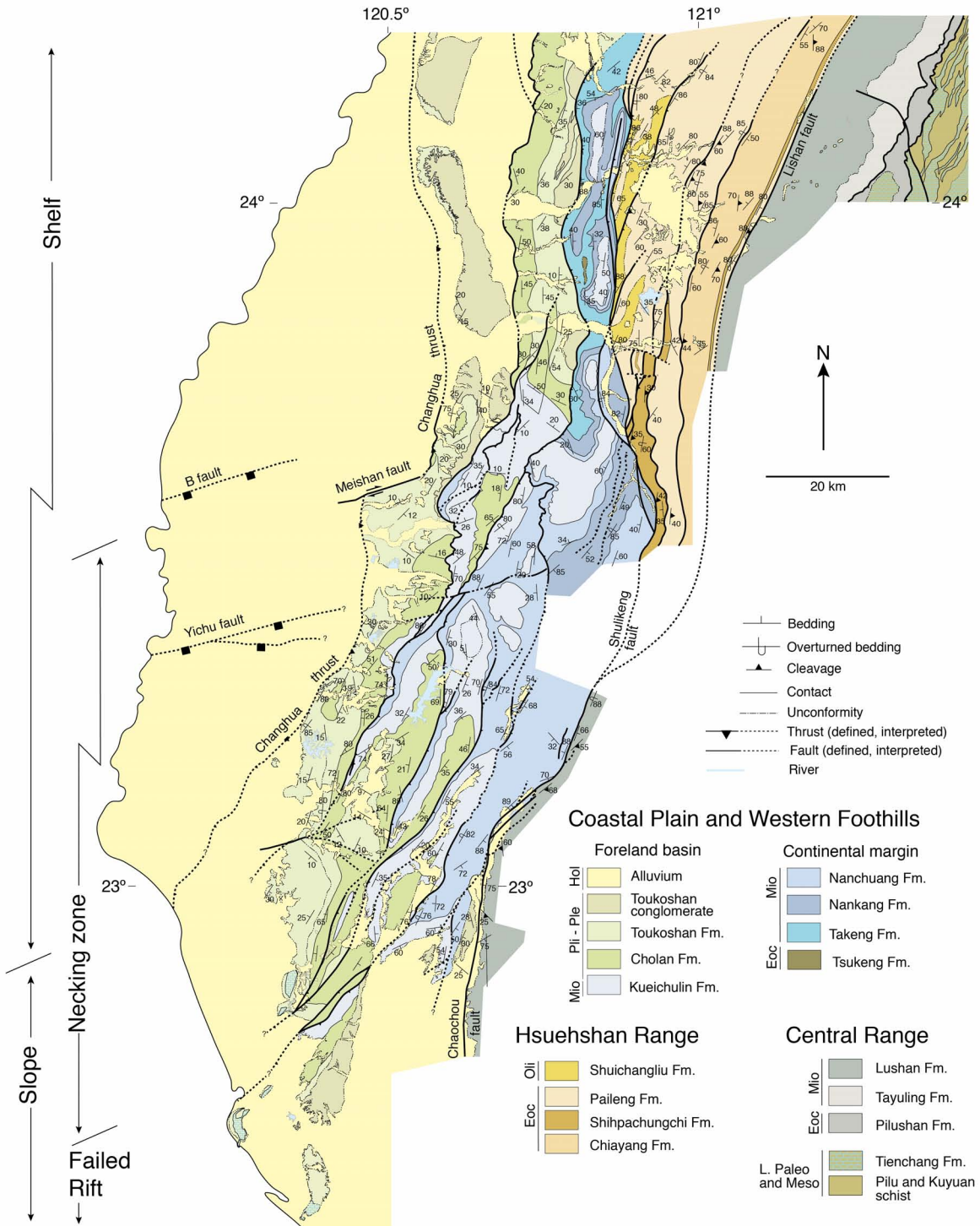


Figure 2

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926 Figure 2: Geological map of the study area with representative structural data. The
 927 location of the main structural and morphological features of the Eurasian continental
 928 margin are shown.

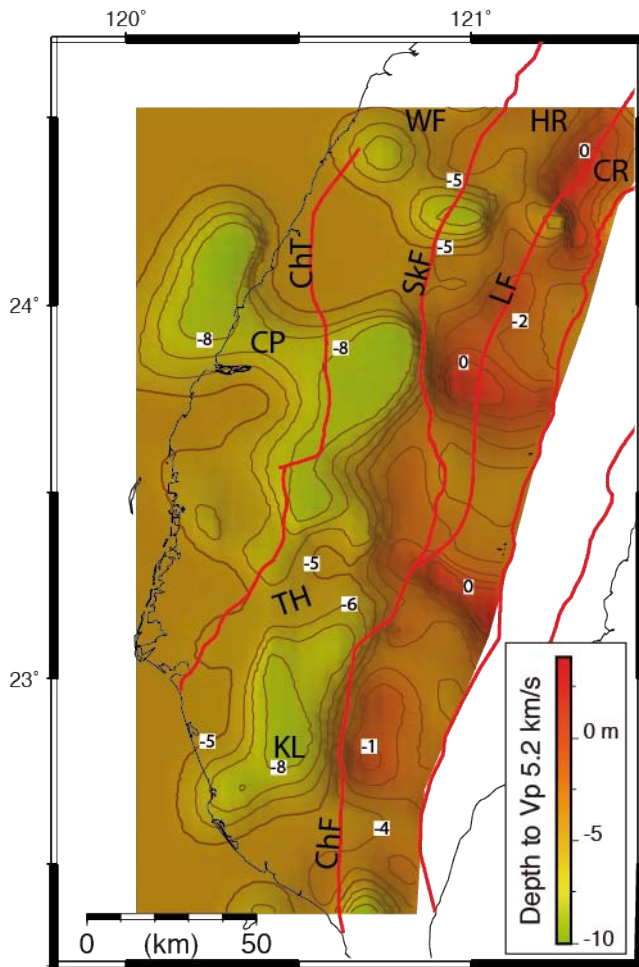
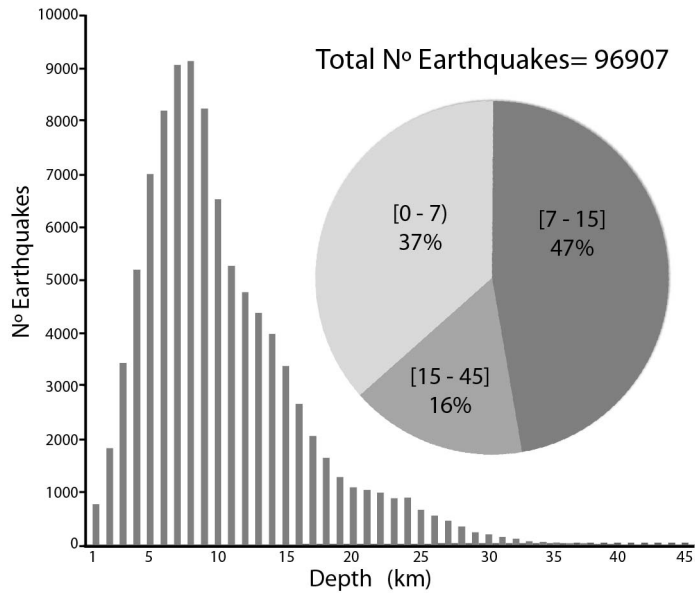


Figure 3

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930 Figure 3: Map of the 5.2km/s proxy for the depth to the top of the basement extracted
 931 from the 3D tomographic model of Kuo-Chen et al. (2012). The Tainan basement high
 932 (TH) and the Kaoshiang basement low (KL) are highlighted. Labels of faults and tectono-
 933 stratigraphic units are as in the inset of Figure 1.



934 Figure 4

935 Figure 4: A) Depth distribution histogram for the seismicity in the study area from 1994
936 through 2014. The pie plot shows the percentage of seismicity for each depth level
937 discussed in the text.

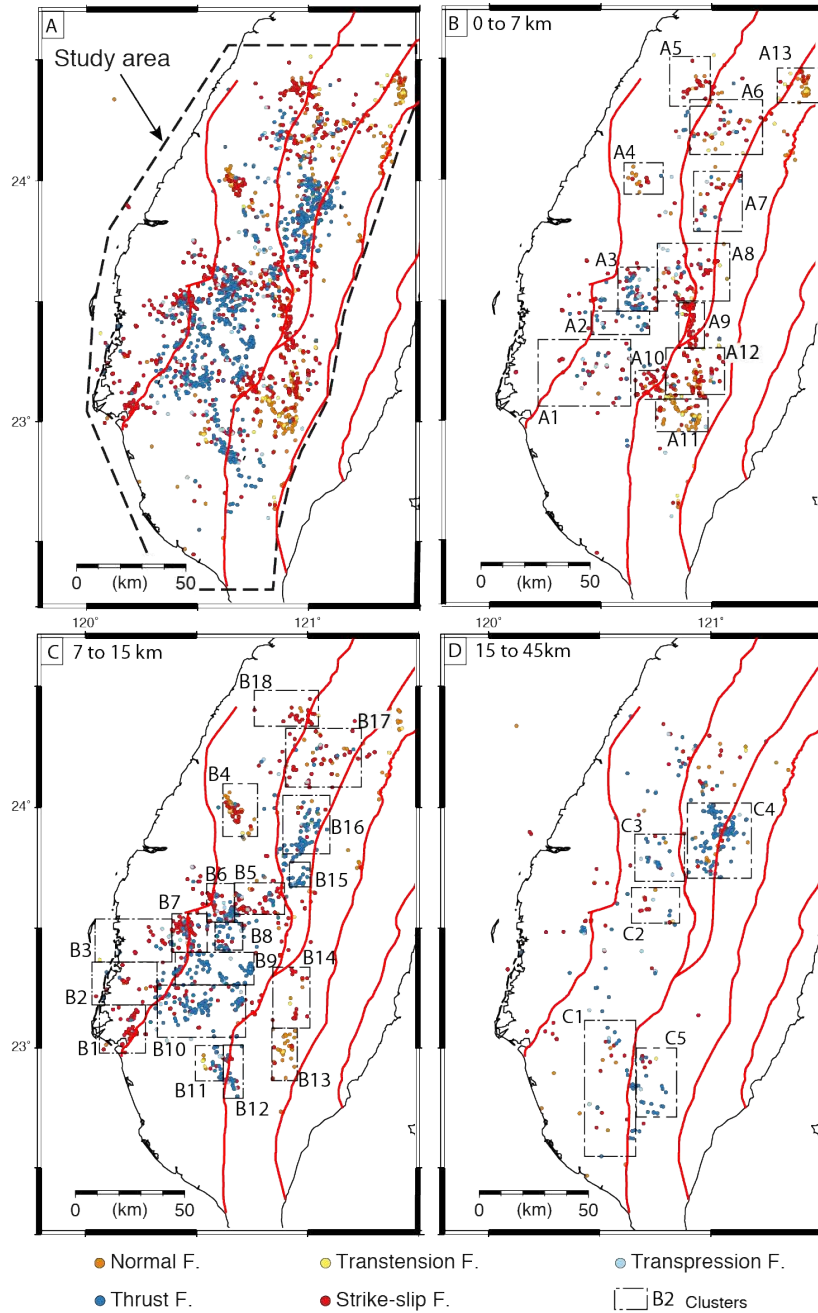


Figure 5

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939 Figure 5: A) Distribution and faulting type for all focal mechanism used in this study.

940 The location of the study area is shown with a black dashed line. The faulting types

941 follow the classification scheme of Zoback (1992). B) The 0 to 7 km depth level with 13

942 clusters labelled A1 to A13. C) The 7 to 15 km depth level with 18 clusters labelled B1 to

943 B18. D) The 15 to 45 km depth level with 5 clusters labelled C1 to A5. Clusters

944 correspond to the results shown in Figure 6 and SD1.

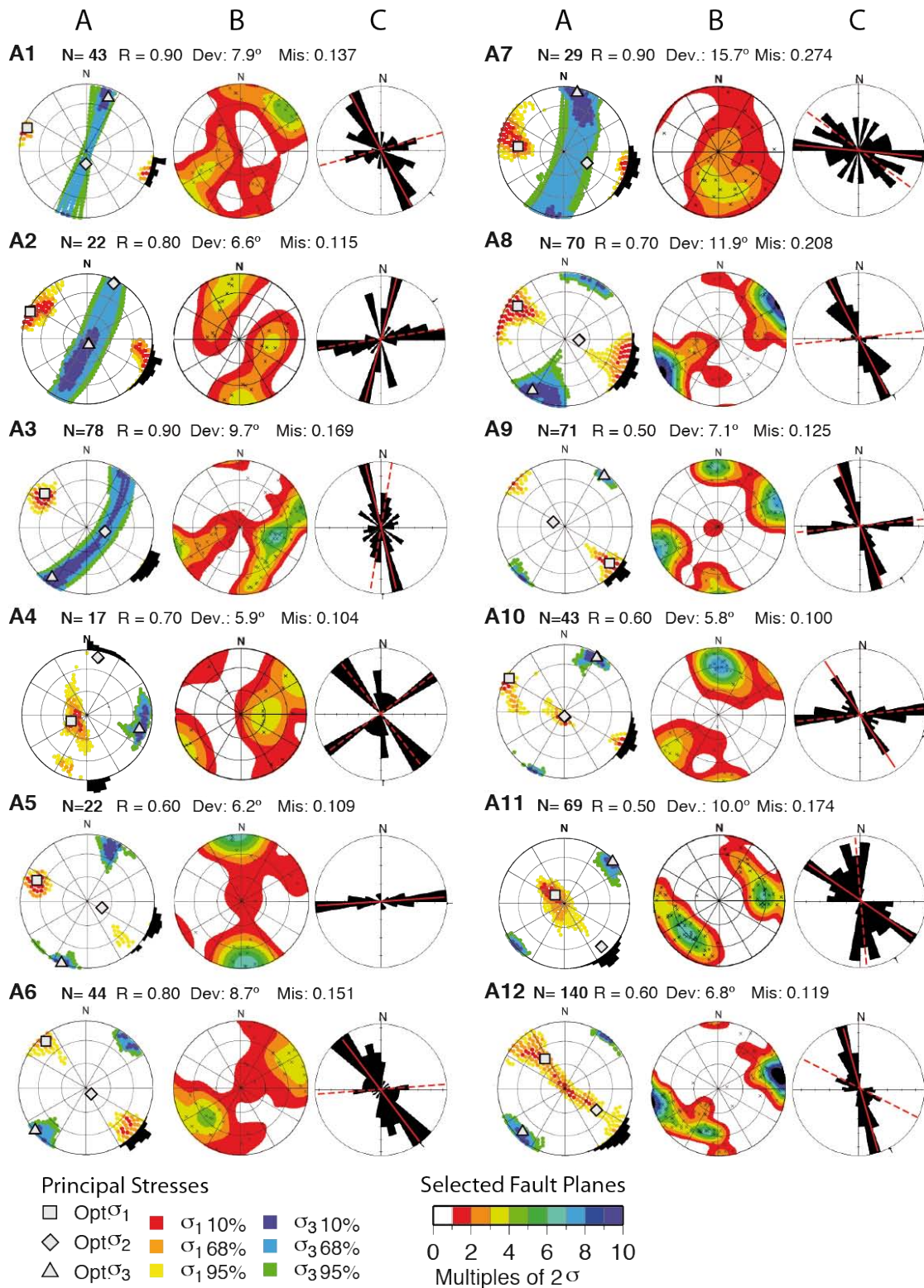
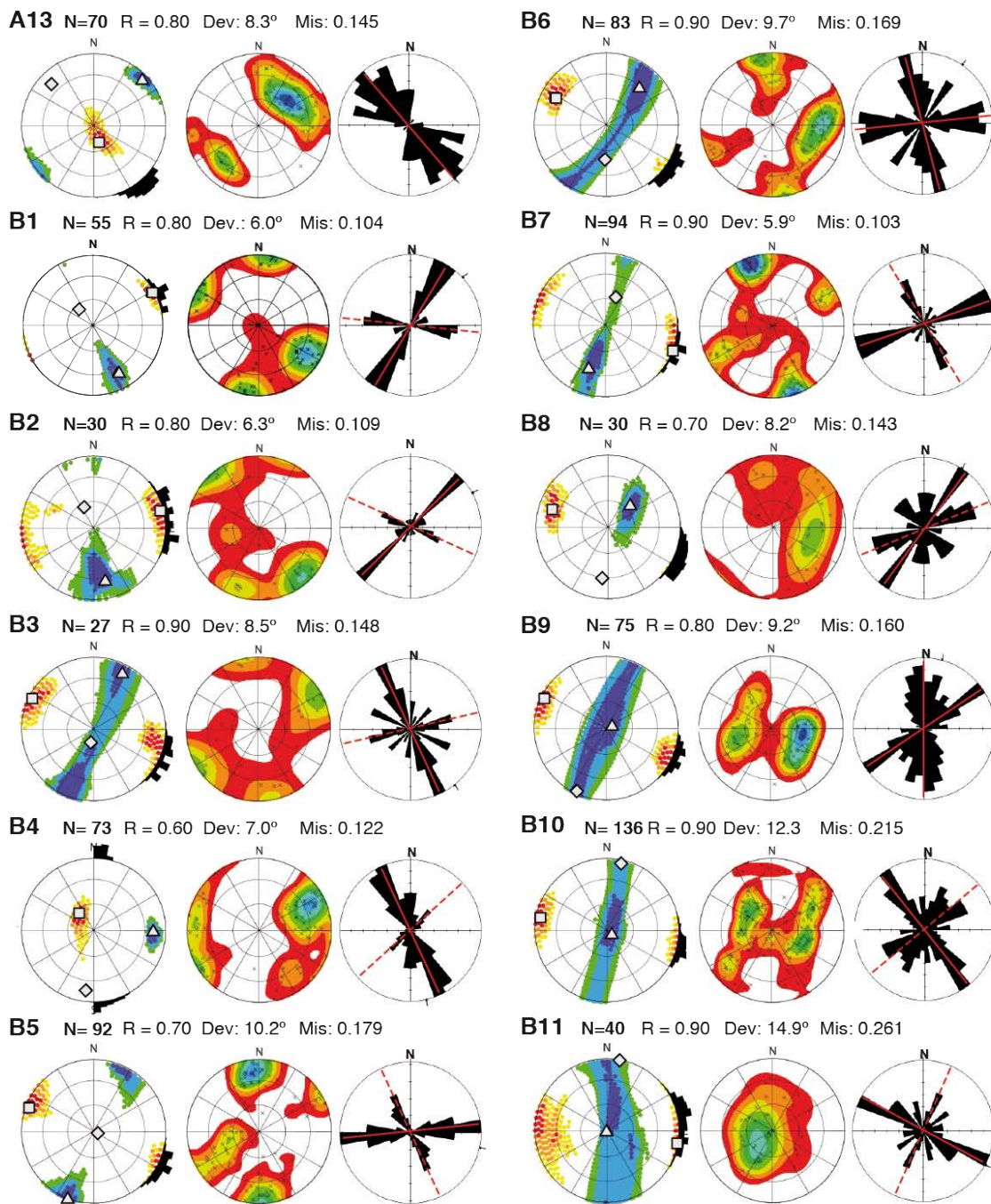
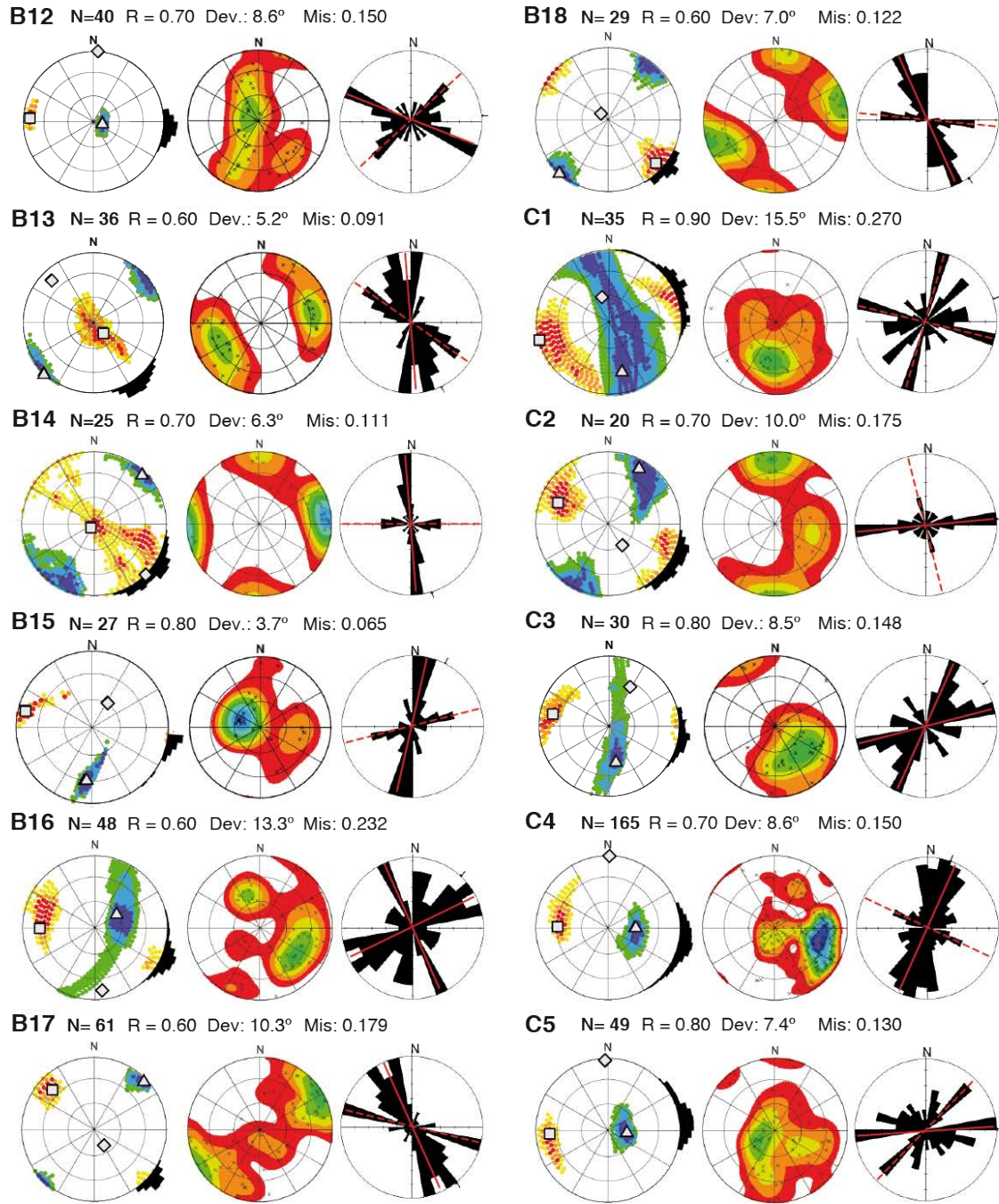


Figure 6 (1)



946 Figure 6 (2)



947 Figure 6 (3)

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3 948 Figure 6: Results from the inversion of earthquake focal mechanisms for each cluster shown
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5 949 in Figure 5. Column A is the best fitting Principal stress directions on lower hemisphere equal
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7 950 area plots, with confidence regions of σ_1 (red colors) and σ_3 (blue colors), and the optimal
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9 951 solution (symbols). Histograms of S_H direction are shown on the periphery of the stereonet.
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11 952 Column B shows the Kamb contours of the poles to the selected fault planes that best fit the
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13 953 stress tensor. Column C shows rose diagrams of the strikes of the selected fault planes from
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15 954 column B, highlighting the mean strike of the primary (solid) and secondary (dashed) fault
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17 955 families in red. N = number of events, R = relative size of the intermediate principal stress,
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19 956 Dev = Deviation and Mis = Misfit. The locations of the clusters are shown in Figure 4. A
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21 957 complete output from the inversion is given in Figure SD1.
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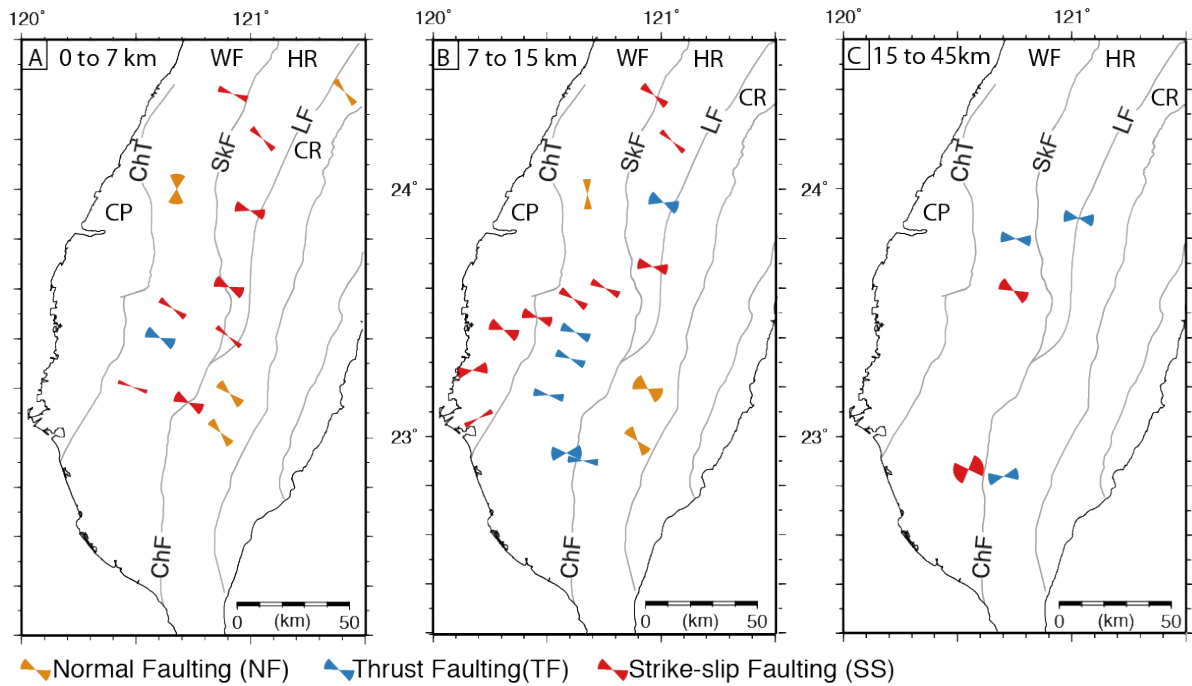
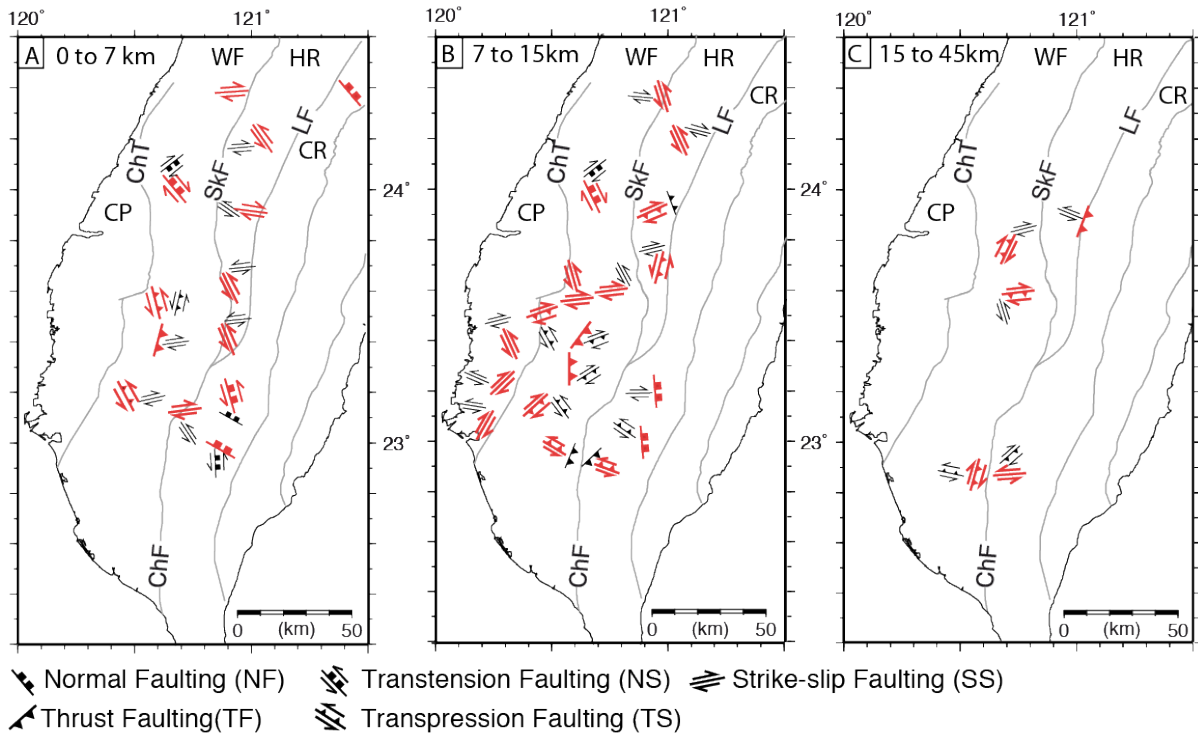


Figure 7

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963 Figure 7: Direction of the maximum horizontal compressive stress (S_H) for each cluster at
 964 their respective depth level. Each wedge corresponds to the 95% confidence interval. The
 965 result from each cluster is colored depending on fault type. A) The 0 to 7 km depth level, B)
 966 The 7 to 15 km depth level, and C) The 15 to 45 km depth level. All the relevant data of the
 967 resultant inversion for each cluster are in Figure 6 and the location of the clusters in Figure 5.
 968 Labels of faults and tectono-stratigraphic units are as in the inset of Figure 1.

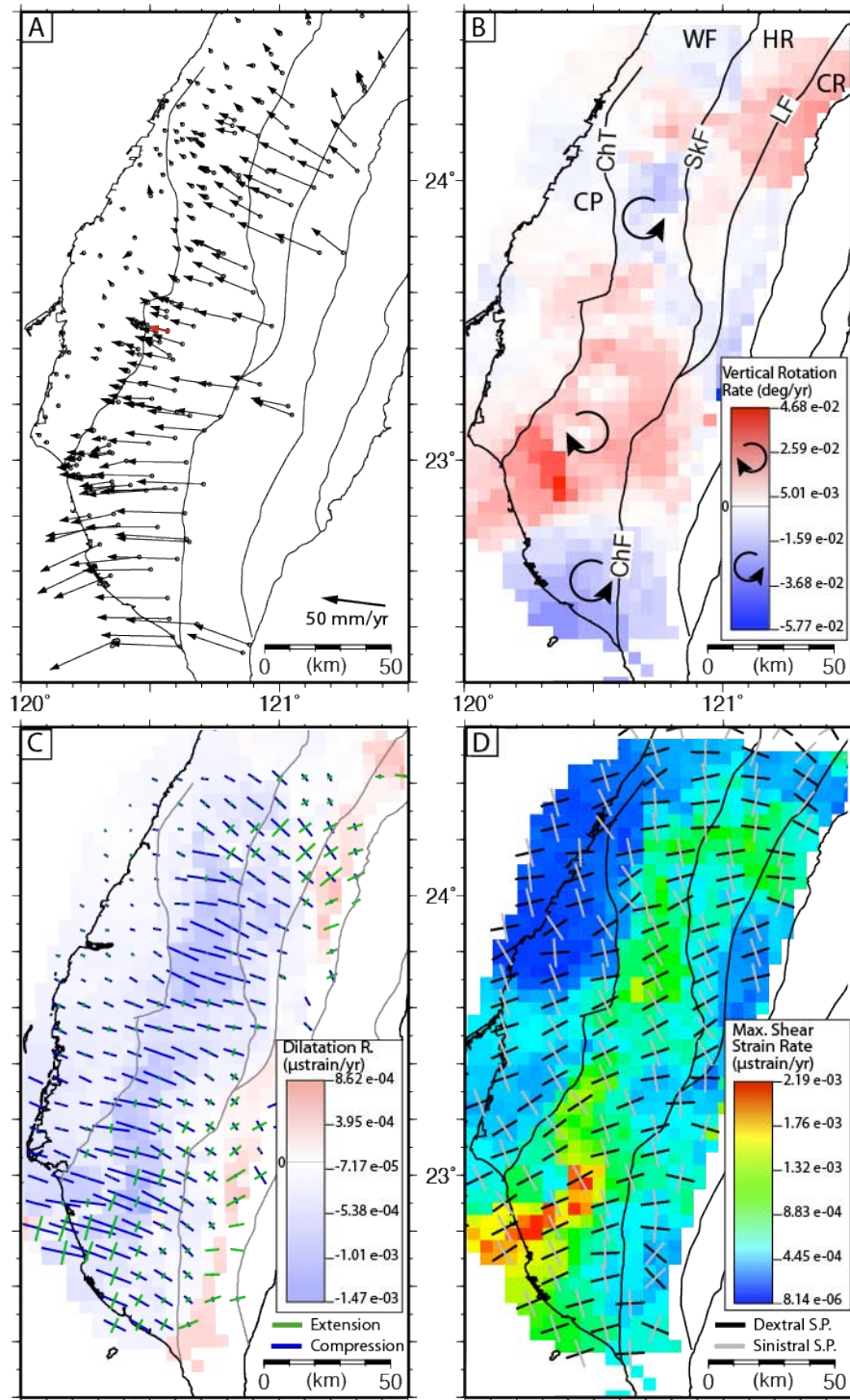
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970 Figure 8

971 Figure 8: Selected fault planes orientations with their interpreted kinematics depending on σ_1 ,
 972 σ_2 , and σ_3 and S_H from the inversion results shown in figures 6B and C (primary
 973 orientations/kinematics in red, secondary in black). A) The 0 to 7 km depth level, B) The 7 to 15
 974 km depth level, and C) The 15 to 45 km depth level. All the relevant data of the resultant
 975 inversion for each cluster are in Figure 6 and the location of the clusters in Figure 5. Labels of
 976 main faults and tectono-stratigraphic units are as in the inset of Figure 1.

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978

979 Figure 9: Geodetic velocities and strain rates. A) GPS horizontal velocity vectors. B) Vertical
 980 rotation strain rate. Blue colors represent counterclockwise and red colors clockwise rotation,
 981 respectively. C) Dilatation strain rates. Blue colors representing compression and red
 982 extension. The horizontal maximum compression (ϵ_H) and extension strain rate axes are

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3 983 shown by the blue and green lines, respectively. D) Maximum shear strain rates. Dextral and
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5 984 sinistral shear planes (black and gray lines, respectively) are given. Labels of main faults,
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7 985 tectono-stratigraphic units are shown in Figure 9b and are as in the inset of Figure 1.
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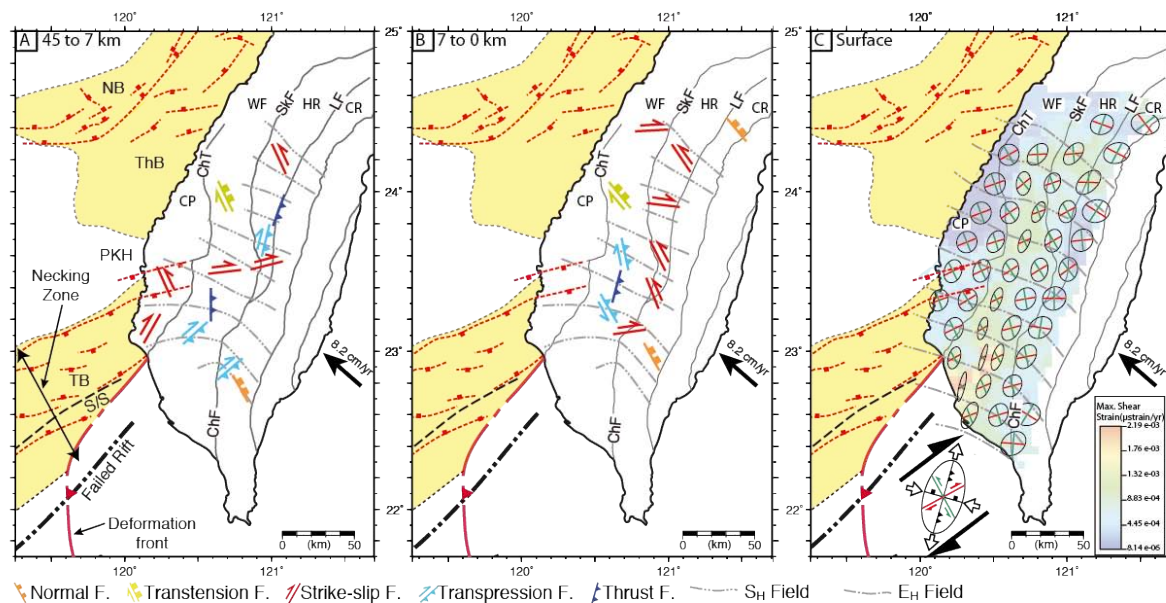


Figure 10

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999 Figure 10: Summary of the stress and strain results for the study area set within the structural
 1000 and morphological features of the Eurasian continental margin. The relative plate motion
 1001 vector between the Philippine Sea Plate and Eurasia is shown. A) The most probable fault
 1002 planes and their kinematics calculated for the basement (the orientations of the secondary
 1003 planes are shown in Figure 8). An interpolated S_H trajectories are shown by dashed gray
 1004 lines. B) The most probable fault planes and their kinematics calculated in the fold-and-thrust
 1005 belt and sedimentary carapace (the orientations of the secondary planes are shown in Figure
 1006 8). S_H as in A. C) Maximum shear strain with deformation ellipses determined for groups of
 1007 four grid units. Dextral and sinistral maximum shear planes are shown in red and green. The
 1008 interpolated horizontal maximum compression strain rate (ϵ_H) trajectories are shown
 1009 by the dashed gray lines. The inset shows the expected fault orientations and kinematics in a
 1010 dextral strike-slip fault system. The maximum compressive strain field (ϵ_H) directions from
 1011 Figure C are shown by dashed gray contour lines. Labels of faults and tectono-stratigraphic
 1012 units are as in the inset of Figure 1. The failed rift axis shown in Figure 1 is shown in thick

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3 1013 black dashed line. S/S = shelf/slope break, TB = Tainan Basin, ThB = Tahishi Basin, NB =
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5 1014 Nanjihtao Basin and PkH = Peikang High.
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15 1017 **Supplementary data sets:**

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17 1018 Table SD1: Table of the 2456 earthquake focal mechanism data set used in this study with their
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19 1019 occurrence time (year, month, day, minute, and second), location (lat., long and depth), local
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21 1020 Magnitude (ML), Strike, dip and rake of the focal mechanisms solution, and the strike, dip and
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23 1021 rake standard deviation (str_sdv, dip_sdv, rake_sdv, respectively). Also provided is the number
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25 1022 of polarity readings (Npor), the azimuthal gap (Gap), the relative measure of up to down
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27 1023 polarity readings (Rup) and the Quality index (Qfp) following Y.-M. Wu et al., (2008). The trend
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29 1024 and plunge of P-, T- and B axes is also provided. Finally, the cluster to which each event belongs
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31 1025 is also given. If the event is not used in any cluster it is indicated by a - sign. The locations of the
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33 1026 clusters are shown in Figure 5.
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41 1029 Table SD2: Table with clusters statistics values (median, average, one standard deviation) for
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43 1030 the focal mechanism solution quality index (Qfp) given by Y.-M. Wu et al. (2008). The number of
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45 1031 events in each cluster with Qfp below 0.2 (N_Qfp<0.2), and its percentage (%_Qfp<0.2) are also
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47 1032 shown. The number of nodal planes chosen with the instability criterion in each cluster
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49 1033 (InstaPlane), along with its percentage (%_InstaPlane), is also shown. The median, average and
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51 1034 standard deviation of each column is given at the bottom of the table.
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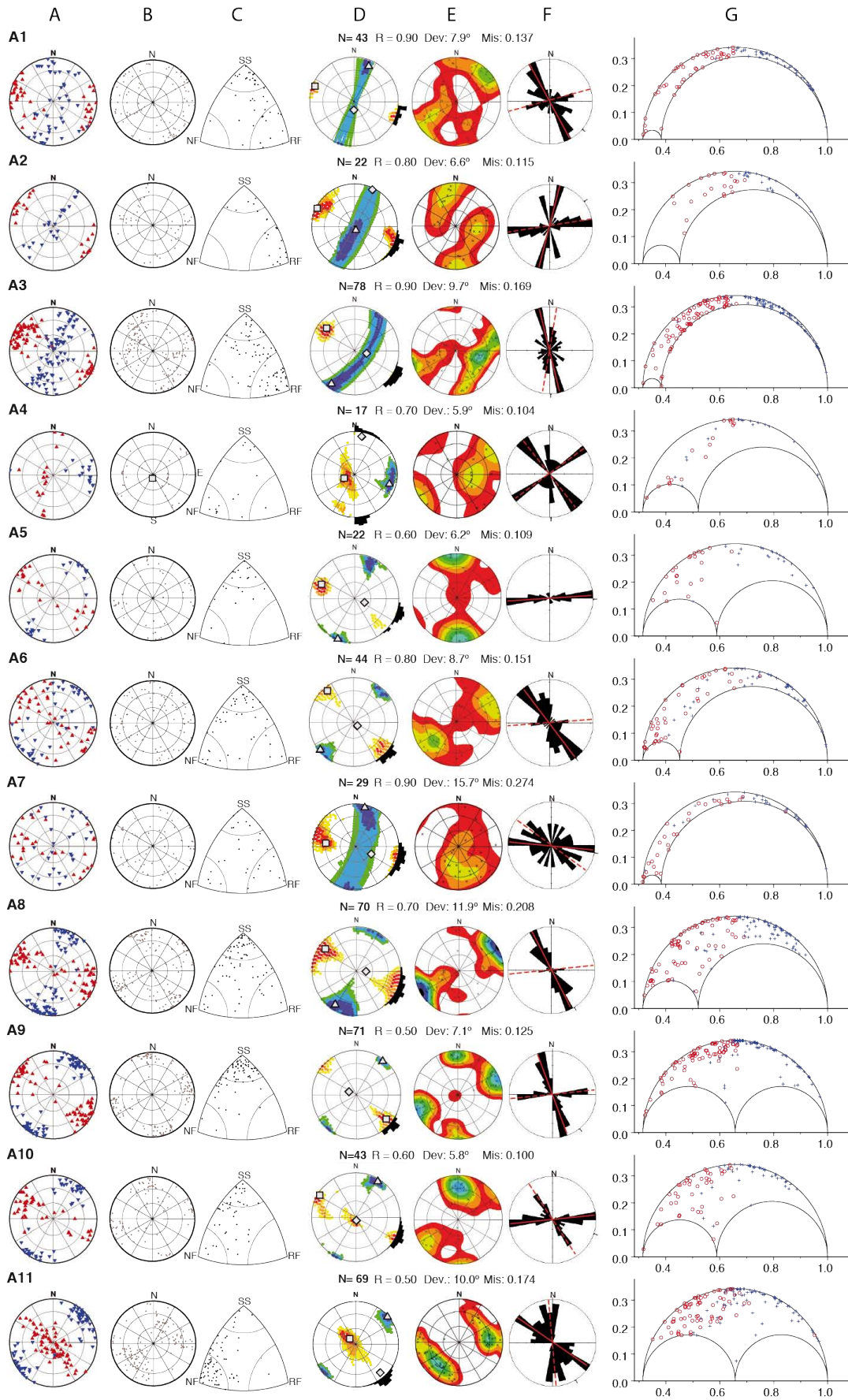


Figure SD1 (1)

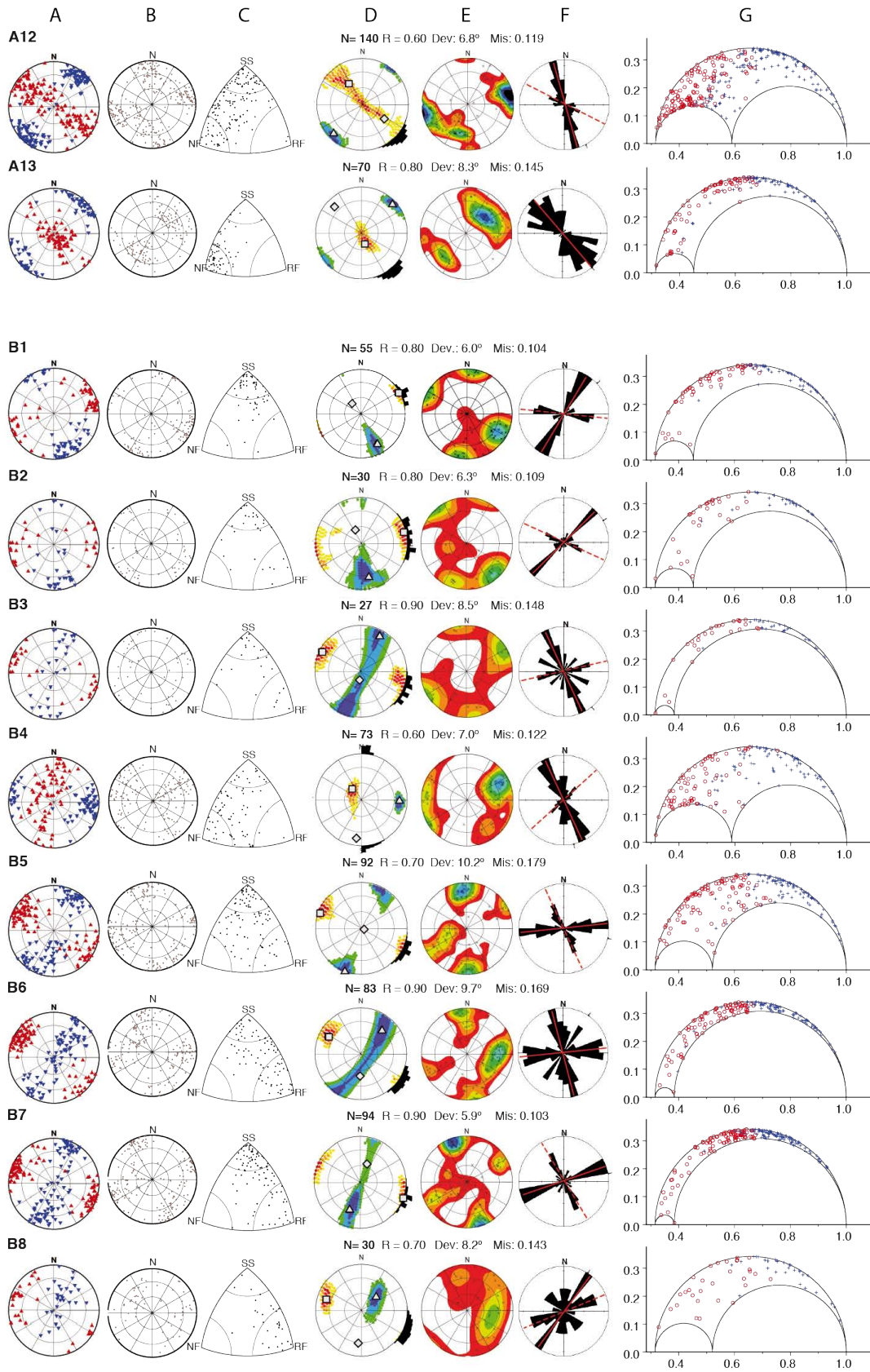


Figure SD1 (2)

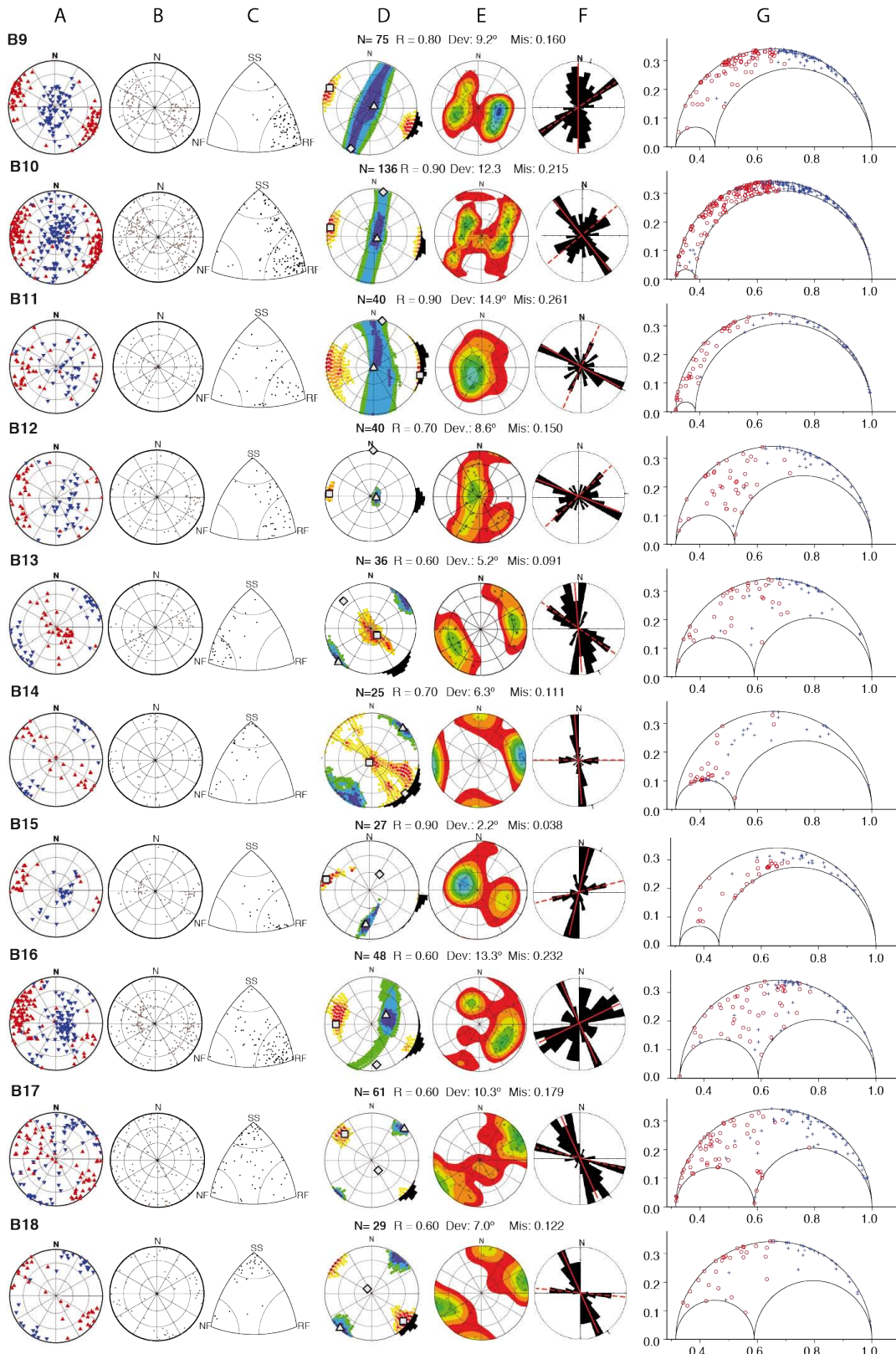


Figure SD1 (3)

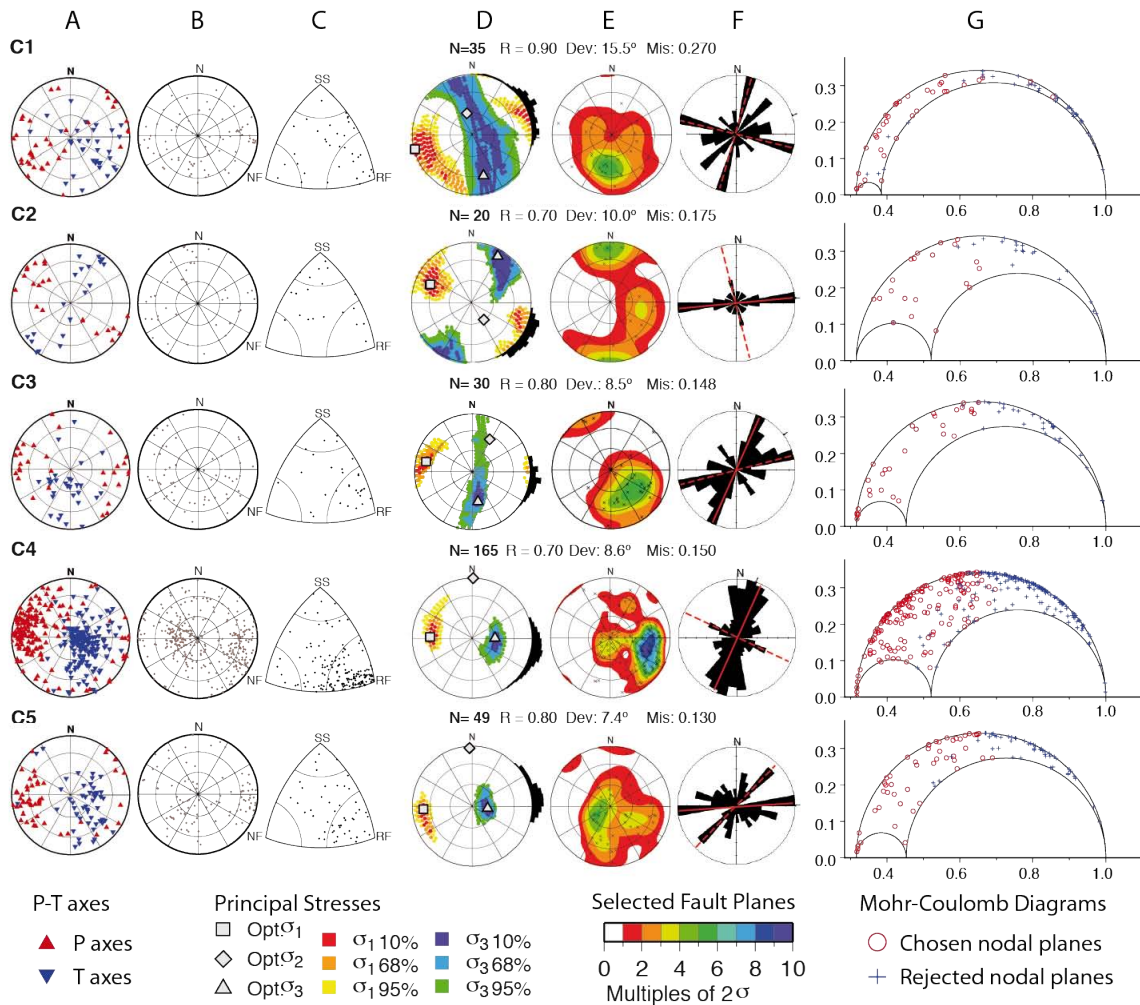


Figure SD1 (4)

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1041

1042 Figure SD1: Focal mechanism cluster information and results from the inversion for each

1043 level cluster of Figure 4. For each cluster there are seven plots which in order from left to right

1044 show (all stereonets are equal area lower hemisphere plots): three plots with the focal

1045 mechanism information, Column A) stereonets with P- and T-axes. Column B) stereonet with

1046 poles to the nodal planes. Column C) Triangular distribution plot depending on the faulting type

1047 after Kagan [2005]. And four plots with the results of the inversion. Column D) Best fitting stress

1048 tensor, with σ_1 , σ_2 , and σ_3 directions (symbols: square, diamond, triangle, respectively) and the

1049 10%, 68% and 95% confidence limits of σ_1 and σ_3 colored, in warm and cold colors

1050 respectively. At the edge of the plot is the S_H direction with its confidence limit as a histogram.

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3 1051 Column E) stereonet showing the Kamb contours of the poles to the selected fault planes that
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5 1052 best fit the stress tensor. Column F) Rose diagram with the strikes of the selected fault planes
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7 1053 from Column D), highlighting the mean strike of the primary and secondary fault families in red
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9 1054 solid and dashed lines, respectively. Column G) Mohr-Coulomb diagrams with all nodal planes in
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11 1055 the clusters, showing with red circles the selected nodal planes and with blue crosses the nodal
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13 1056 planes that were not selected. A coefficient of friction of 0.6 was used to construct the Mohr-
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15 1057 Coulomb diagrams. Parameters of the inversion results: N = number of events, R = relative size
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17 1058 of the intermediate principal stress, Dev = Deviation and Mis = Misfit. The locations of the
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19 1059 clusters are shown in Figure 5.
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